# A high frequency, full-spectrum review of color vision concepts

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#### Goals

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- A lot to cover in one hour
- Hope to impart the basics, especially abstract concepts to help frame study
- I'll mostly summarize the theoretical background, and help orient on graphs.

#### References

- Dr. Verdon's VS205 slides (2007-2010)
- Dr. Haegerstrom-Portnoy's VS212E slides (2010)
- Schwartz Chapter 5 and 6
- Verdon and Adams chapter of Norton, et al. book
- Webvision http:

//webvision.med.utah.edu/KallColor.html

- Dr. Salmon's (Northeastern) VS2 notes http://arapaho.nsuok.edu/~salmonto/vs2.html
- HyperPhysics Color Vision Concepts http://hyperphysics.phy-astr.gsu.edu/hbase/ vision/colviscon.html
- handprint: color vision
   http://handprint.com/LS/CVS/color.html

#### **Outline from NBEO**

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OPTICS (PHYSIOLOGICAL): Perceptual Function / Color Vision

#### G. Color Perception

- 1. Chromatic discrimination (hue and saturation) for normal and defective
- 2. Color mixture and appearance
- 3. Color contrast, constancy, and adaptation
- 4. Color specification and colorimetry (CIE)
- 5. Spectral sensitivity of normal and defective color vision
- 6. Mechanisms of color deficiencies
- 7. Inherited anomalies of color vision
  - a. Classification
  - b. Inheritance patterns
  - c. Color vision tests (e.g., pseudoisochromatic tests, arrangement tests
- 8. Acquired anomalies of color vision
  - a. Classification
  - b. Etiology
  - c. Color vision tests
- 9. Conditions for color vision testing
- 10. Societal implications of color vision anomalies
  - a. School
  - b. Vocational requirements
  - c. Patient interest
- 11. Patient management strategies
  - a. Counseling
  - b. Special aids

#### Example questions from NBEO

a. 0.00
b. 0.25
c. 0.50
d. 1.00
Classification: Optics (Physiological): Perceptual Anomalies / Color Vision; Explicit

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# Outline

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#### Color vision overview and theory Color vision concepts & trichromacy How wavelength discrimination works Color specification basics Color opponency

#### 2 Color vision defects

CVD summary Inherited color vision defects Discrimination differences

#### 3 Color vision testing

Testing conditions Plate tests Farnsworth arrangement tests Anomaloscope



# Outline

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#### **Basic terms**

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- Color vision: the ability to discriminate stimuli based on wavelengths of light
- *Metamers:* stimuli that appear the same but are physically different
- *"Making a match":* ability to make two stimuli metameric, often indicates a defect.
- Spectral composition: a light source is made up of amounts of light at one or many wavelengths (i.e., sunlight)
- *Monochromatic light:* light source made up of a single wavelength. (i.e., laser).

# Visible lights

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- lower (UV) limited: Media, esp. lens
- upper (IR) limited: insensitivity



#### 3 cone types







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# 3 photopigments



- Color normals are "trichromatic"
- S/cyanolabe: 420, M/chlorolabe:530, L/erythrolabe:560
- Rods not involved

#### The "real" sensitivies

- Actual sensitivity to wavelengths depends on population of S,M,L cones
- L:M:S=32:16:1
- Remember no S cones in the central fovea! (Near-field tritanopia.)



# Overall (combined) spectral sensitivity

- Known as  $V(\lambda)$
- Curves represent different measurement methods
- Photopic vision peaks around 555nm



# Outline

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SWAP Test

#### Monochromat

- Monochromats: only one pigment (could be rods)
- Due to Principle/Univ.: One single "color" with varying brightness
- Think B&W TV!



 $F_{i0} = 0.2$  (a) The absorption spectrum of rhodopin. This curve has an unfamiliar shape because the scale on the usual logarithmic one. The linear scale is used here to simplify the numerical illustrations in the text. (The data plotted here are dientical with those plotted the more usual way in Fig. 5.6.) (b) When two patches of light are flashed, one of wavelength 500 and the other 575 nm, the table indicates the numbers of quanta absorbed by rhodopsin from each patch.

# Monochromacy Demo



#### Combination of cone responses used

 Color discrimination is based on combination of cone responses

 Principle of univariance: cones "forget" what wavelength they absorbed



#### **Dichromat metamer**



Fig. 2.7 (a) Absorption spectra for two hypothetical visual pigments, and (b) the corresponding effects of lights upon a visual system containing only those two pigments. It is always possible to find intensities for  $\lambda_4$  and  $\lambda_5$  such that the effects of their mixture (the right-hand patch) will be identical to the effects of  $\lambda_1$  defining patch.)

- Dichromats: missing one pigment
- Reduces their ability to discriminate based on wavelength alone
- 3 lights can be combined to appear metameric
- Trichromatic would never match!

(b)

# Outline



# Additive color mixtures

- We generally consider additive color mixtures
- vs. subtractive (like paint/pigment which absorbs wavelengths)
- Filters "pass" their associated color
- Helpful: lowpass, bandpass, highpass for colored filter



# Subtractive: Color depends on illuminant and surface



Ink Color	Absorbs	Reflects	Appears
С	Red light	Green and Blue light	Cyan
М	Green light	Red and Blue light	Magenta
Y	Blue light	Red and Green light	Yellow
M + Y	Green & Blue light	Red light	Red
C + Y	Red and Blue light	Green light	Green
C + M	Red and Green light	Blue light	Blue

# Color appearance terms (perceptual)

- Hue: Color, Dominant wavelength
- (De)Saturation/ purity: Appearance of added white. Munsell: Chroma, left-right
- Brightness:

Luminosity, Intensity, Munsell: *Value*, up-down

 Are not strictly independent: Bezold-Brucke, Abney effect



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# **CIE Chromaticity Diagram**

- Color appearence:
   *perceptual*
- Brightness not shown (only hue+saturation, aka "chromaticity")
- Hues radiate to spectral locus
- White is in center
- Color mixtures lie
   between two colors



# **CIE Chromaticity Diagram**

- The standard coordinate system based on 3 "imaginary" primaries
- Spectral locus
- Non-spectral purple
- Blackbody curve (Planckian locus)
- Whites near center



# **Excitation purity**



- D = Dominant wavelength
- Exc. purity =  $\frac{a}{a+b}$
- 0 = white
- 1 = spectral locus

FIGURE 8.9 The CIE 1931 (x, y) chromaticity diagram on which are shown the locations of points, distances, and directions that can be used to determine the dominant wavelength (D) and the excitation purity (a/(a+b)) for a color mixture (M) created by mixing the appropriate amounts of two wavelengths ( $\lambda_i$  and  $\lambda_i$ ). It also shows two complementary colors ( $\lambda_i$  and  $-\lambda_i$ ). See text for details. C is the location of the reference white. (Modified from C Wyszecki, WS Stiles. Color Science: Concepts and Methods, Quantitative Data and Formulae [2nd ed]. New York: Wiley. (1982.)

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#### 1 Color vision overview and theory

Color vision concepts & trichromacy How wavelength discrimination works Color specification basics

#### Color opponency

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#### 3 Color vision testing

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Misc/Extra (answers)
 SWAP Test

# Color opponency

- Subjects can name all colors based on (B vs. Y) and (R vs. G)
- Unique hues when 0% of opposite channel
- Two diagonal axes on CIE diagram



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#### Chromatic valence

- Experiment asked subjects to add B/Y or R/G to target wavelength to make it white
- On this graph, zero-crossings are unique hues (of other channel)



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# Chromatic adaptation

- Chromatic adaptation: Neurons "tire" to repeated presentation
- Chromatic adaptation best understood as shift towards opponent color in pathway



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# Chromatic adaptation

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#### Zone model

- Unifying opponency and trichromacy
- B/Y, R/G, and achromatic luminance channel
- Opponency originates in the latest stages of the retina, specifically (P/midget vs. bistratified ganglion cells-parvo vs. konio pathway)



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# Color constancy

- (High-level) perception of color is modulated by context
- Right side of A is same as left side of B
- Also: why objects look the same under different illumination



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#### Common inherited CVDs

- Protan: L cone. Missing, or shifts left
- Deutan: M cone. Missing, or shifts right
- vs:
- Tritan: S cone. Missing (rare)
- Dotted lines show "normal" pigments



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# **CVD** summary

Hereditary	Acquired	
predominantly R/G	B/Y or R/G	
predominantly male	M or F	
no naming errors	recent errors	
stable	variable or progressive	
clear-cut	difficult to diagnose	
no disease	disease	
binocular	monocular or asymmetric	

#### CVD summary: Kollner's rule

Kollners Rule: Lesions at the level of the receptor layers, or in the pre-retinal media are more commonly associated with blue-yellow (better termed tritan) disorders of color vision. Lesions in the post receptoral layers (inner retina, ganglion cells & visual pathways) are more likely to exhibit red-green color disorders.

	Blue-yellow defects	Red-green defects	
Kollner's rule	media, choroid, outer retina	optic nerve, inner retina	
Examples	cataract, diabetes, RD, macular degeneration, chorioretinitis, central serious retinopathy	optic neuritis, papillitis, Leber's, central optic at- rophy, toxic amblyopia, vi- sual pathway lesions	
Exceptions	glaucoma, papilledema	dominant cystoid macu- lar dystrophy, Strargardt's disease (fundus flavimac- ulatus)	
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#### Inherited color vision defects

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Deuteranomaly	5%(males)	X-L recessive
Deuteranopia	1%(males)	X-L recessive
Protanomaly	1%(males)	X-L recessive
Protanopia	1%(males)	X-L recessive
Tritanomaly and tritanopia	0.001-0.007%	AD (or acquired
Rod monochromacy	0.003%	AR
Blue cone monochromacy	???rare	X-L recessive
Cone monochromacy	???extremely rare???	

Study the inheritence patterns & Punnett squares! See

http://en.wikipedia.org/wiki/Color\_blindness

#### Inheritance example from Wikipedia



#### Example questions from NBEO

a. 0.00
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Misc/Extra (answers)
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#### Altered $V(\lambda)$ curves

- Tritans normal
- Deuteranope almost normal
- Protanope quite different (due to prevalence of missing L cones)
- "Dimming of the red"



#### Wavelength discrimination

- Normals have down to 1nm discrimination capability near 490 and 590
- Protanopes and deuteranopes only discriminate well between 450&540
- Tritanopes have a gap with no discrimination between 460&480



#### Protanope confusion lines

- Dichromats have copunctal points and confusion lines
- They cannot distinguish colors on a given confusion line
- Intersection w/spectral locus through white is called "neutral point" (N)



#### Deuteranope confusion lines

 Deuteranopes and protanopes share confusion line along spectral locus



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#### Tritanope confusion lines



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#### Saturation discrimination

- For normals, yellow is hardest to distinguish from white
- Only dichromats have neutral points (wavelength indistinguishable from white)



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#### Color vision aids

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- Red goggles for achromatic photophobia
- Colored filter for R/G CVDs can help with vocation. (Filter may allow patient to discriminate based on luminance difference induced by filter).

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Misc/Extra (answers)
 SWAP Test

## Testing conditions & standard illuminants

- Standard illuminants: Spectral distribution is critical!
- Reflected color depends on illuminant and plate
- Must use Illum. C or incandescent bulb w/blue filter



3.3.4). Relative spectral radiant power distributions of CIE illuminants A, B, C,

#### **CVT** summary

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- Ishihara Plates: Sensitive, R/G
- HRR Plates: Sensitive, R/G and B/Y
- D-15/F-100: Insensitive, R/G and B/Y
- Anomaloscope: Sensitive, R/G
- "Red cap test": quick check with tropicamide cap: binocular, central/periph., monocular nasal/temp.

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**Testing conditions** 

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Misc/Extra (answers)
 SWAP Test

#### Types of plates: Ishihara

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- **Transformation plates:** individuals with color vision defect should see a different figure from individuals with normal color vision.
- Vanishing plates: only individuals with normal color vision could recognize the figure.
- **Hidden digit plates:** only individuals with color vision defect could recognize the figure.

### HRR diagnostic plate

- .
- Circle or triangle indicates type of red/green defect

#### HRR diagnostic plate design

- See previous slide.
- If figure "matches" background color (on confusion line) it disappears for color defective



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#### Ishihara hidden digit plate

- Hidden digit
   "appears" for CVD
- All types of plates (transformation, hidden digit, vanishing, diagnostic) use principle of confusion lines



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**Discrimination differences** 

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#### Farnsworth arrangement tests

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Misc/Extra (answers)
 SWAP Test

#### Farnsworth D-15

- Patient tries to arrange caps in order
- Caps are on ring in CIE which spans confusion lines to yield diagnostic error patterns



Location of Farnsworth D-15 caps in CIE color space

#### Farnsworth D-15 diagnosticity



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#### Anomaloscope

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#### Neitz Anomaloscope

- Top: mixture of 545nm and 670nm (green/red) 0-73
- Bottom: test color at 589nm (yellow) brightness 0-35
- Can adjust mixture of top and luminance of bottom
- Rayleigh equation: "R+G=Y"



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#### Anomaloscope diagnosticity

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- Versus "normal" match. Mixture about 45, luminance about 17.
- Deureranopes: any mixture, same (normal) intensity
- Protanopes: any mixture, shifted intensity:
  - if top red, brightness low to match
  - if top green, brightness higher than normal
- Deuteranomalous: needs to add green. mixture is variable. brightness normal
- Protanomalous: needs to add red. mixture variable. brightness lower

#### Example questions (via Dr. Salmon)

Example question from the Optometry Exam Review Book: Question #2. A color-deficient person looks in an anomaloscope and does not accept a color-normal's match? The nature of the person's deficiency is: a. protanomaly b. deuteranopia c. protanopia d. tritanopia 11. A patient mixes monochromatic green and red lights to obtain a metameric match with monochromatic yellow. If the he thinks any red-green mixture looks the same hue as the yellow light, which of the following diagnoses is/are possible? a. protanomaly b. protanopia c. deuteranomaly

- d. deuteranopia
- e. none of the above

12. In addition to the adjustment described in Question 11, assume that the patient reduces the radiance of the yellow light below normal when the mixture setting is pure red, and increases the radiance above normal when the mixture is set to pure green. Which of the following diagnoses is/are possible?

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- a. protanomaly
- b. protanopia
- c. deuteranomaly
- d. deuteranopia
- e. none of the above

#### Example questions 2 (via Dr. Salmon)

13. For which of the following anomalies would the patient accept normal mixture and luminance settings?

- a. protanomaly
- b. protanopia
- c. deuteranomaly
- d. deuteranopia
- e. none of the above

14. Suppose the mixture setting contains a slightly greater-than-normal amount of green but the luminance setting is normal. He probably has ..

- a. protanomaly
- b. protanopia
- c. deuteranomaly
- d. deuteranopia
- e. none of the above

15. Suppose the mixture setting contains a slightly greater-than-normal amount of red but the luminance setting is significantly greater than normal. He probably has ..

- a. deuteranomaly
- b. deuteranopia
- c. protanomaly
- d. protanopia
- e. none of the above

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#### Anomaloscope Answers 1

2: (A) Protanomaly. (B) and (C) are R/G dichromats, which means they are missing a photopigment and CANNOT discriminate the colors on the anomaloscope. So they accept all matches, including the normal's. Tritanopia (D) is a B/Y defect, so they should perceive the match exactly as a normal. The anomalous protanope, on the other hand, has shifted R/G sensitivies and thus a different match center.

11: Could be (B) or (D). As described above, the red, green, and yellow are all on confusion lines for the R/G dichromats. (A) and (C) have slightly wider ranges of hue matches (vs. normal), but shouldn't accept **all** mixtures.

12: (B) Remember that protans have "dimming of the red" (since their L wavelength pigment is shifted left/lower), so the yellow radiance/luminance setting indicates this.

#### Anomaloscope Answers 2

13: (D) Both (B) and (D) accept all hue matches, including the normals. But the normal luminance setting indicates the deutan. Their V( $\lambda$ ) curve is similar to the normal's. A protanope, on the other hand, would change the luminance to counteract their "dimming of the red".

14: Probably (C), if the range of mixtures is small. (Deuteranomalous trichromats are "green weak", but with normal luminance curves.) Note, however, that a deuteranope would also accept this match, plus all other mixture settings.

15: Probably (C), if the range of mixtures is small. (Protanomalous trichromats are "red weak", and have abnormal luminance curves.) Note, however, that a protanope would also accept this match, plus all other mixture settings.

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OPTICS (PHYSIOLOGICAL): Perceptual Function / Color Vision

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#### NBEO website answers

1: (B). For this, knowing the CIE diagram and confusion lines helps. Specifically, which lines pass through blue-green and white? Blue-green is in the middle of the left side of the CIE diagram, while white is in the middle.

It's obviously not tritanopes, since their confusion lines radiate from the lower-left hand corner of the CIE diagram. The ones through white go from pure blue, to white, then to yellow. Trichromats don't have confusion lines (!), leaving choice (B). It would be difficult to distinguish between deuteranopes and protanopes here.

2: (A). First, the majority of inherited R/G color defects are X-linked recessive. For this question, we know that: the father is unaffected, while the wife may be a carrier. That means that it is possible that the daughter is a carrier or a son is color defective. BUT, the daughter could not be color defective. The father must be color defective in order for the daughter to be color defective.

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# Color vision concepts & trichromacy How wavelength discrimination works 3 Color vision testing

Testing conditions Plate tests Farnsworth arrangement tests Anomaloscope



#### SWAP test

- Short Wavelength Automated Perimetry
- Humphrey Field Analyzer II (Model 700 and higher)
- Detect early glaucoma by isolating S cone function
- Useful for detecting other conditions



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### SWAP test theory

- 0 -0.5 -1 S -1.5 Log relative sensitivity -2.2--3.2-3.2 -4 -4.5 YELLOW -5 400 450 500 550 600 650 700 Wavelength (nm)
- SWAP works by adapting/bleaching M and L cones
- Broadband highpass filter passes above 530 (blocks  $\lambda$ s below)

## Miscellany

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- Bezold-Brucke phenomenon: The hue of most wavelengths change slightly with different levels of luminance. See Schwartz Fig. 5-13.
- Abney effect: Constant hues are not on straight line from spectral locus to reference white. Makes "spider-web" pattern on CIE diagram.
- Grassman's Laws for metamers: Metamers remain metamers under additivity, scaling, and associativity
- Color constancy: Colors appear perceptually same w/small changes in lighting and wavelength

## CIE color matching functions

- Used to calculate chrom. coords from wavelength(s)
- $\overline{y} = V(\lambda)$
- Doesn't correspond to physical primaries
- Remember: any set of 3 primaries can be used to specify color system



Fig. 17.1. CIE (1931) and CIE (1978)  $\bar{x}\bar{y}\bar{z}$ color matching functions (CMFs). The  $\bar{y}$ CMF is identical to the eye sensitivity function  $V(\lambda)$ . Note that the CIE 1931 CMF is the currently valid official standard.



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## Wright color matching functions

 Wright's color matching functions (of given primaries)



FIGURE 8.6 Wright's color-matching functions. The tristimulus values on the y-axis are the relative amounts of the three primaries required to match the monochromatic wavelength indicated on the x-axis. The arrows indicate the positions of the three primaries used: 460, 530, and 650 nm. Note that the tristimulus values are 0 for two of the three primaries at these positions. Negative tristimulus values indicate that the primary had to be added to the reference side to achieve a color match. (Modified from WD Wright. Researches on Normal and Defective Colour Vision. St. Louis: Mosby, 1946.)

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# Munsell Cylinder

- Wright's color matching functions (of given primaries)
- Hue= color name.
  100 hues divided into
  10 segments of 10
  hues e.g. 5 YR
- Value = lightness. Scale 1-10 (0 is black, 10 is white)
- Chroma = saturation. Scale 0-14 (0 is achromatic, 14 is saturated)
- Designated: H V/C e.g. 2 YR 5/10



### Abney Hue Shift



CIE 1931 (x, y)-chromaticity diagram showing loci of constant hue and constant chroma at value 5/ of the Munsell renotation system (Dorothy Nickerson, private communication).

Notice that the lines of constant hue (radial like spokes on a wheel) are curved. This is because of the Abney effect.

 Abney effect: Constant hues are not on straight line from spectral locus to reference white.

#### MacAdam ellipses



-520 nm 530 nm

> ellipses are ten times their actual lengths (after MacAdam, 1943; Wright, 1943; MacAdam, 1993).

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## **CIE** features



 A color monitor can display colors inside triangle