

Vacuum Techniques

Vacuum - Volume of space empty
of matter

History

Aristotle - Vacuum impossible - "nothing
could be something"

Christians - held idea of a vacuum
heretical, "absence of anything
implied absence of God"

Evangelista Torricelli 1645 2



Portrait of Evangelista Torricelli.

Torricelli's mercury barometer produced

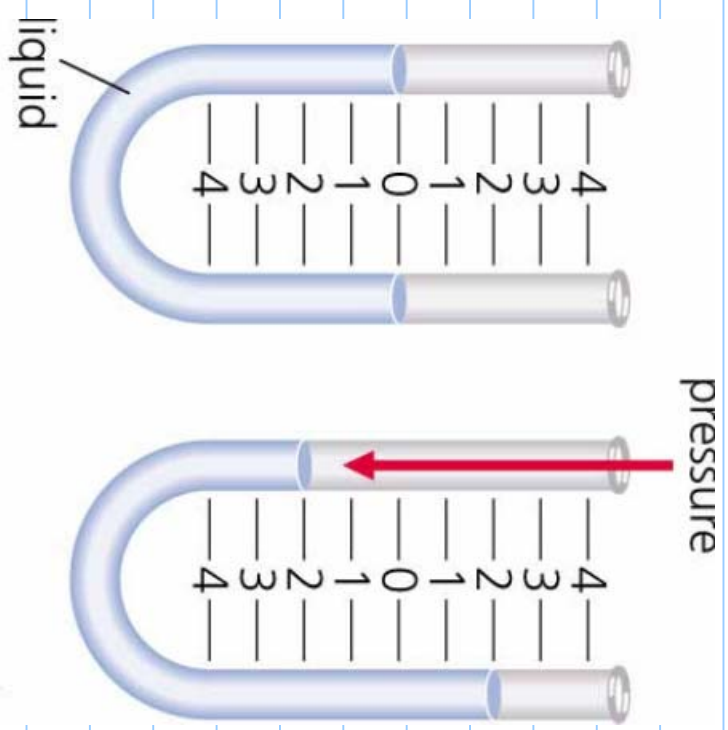
Vacuum Units

1 millibar	=	100 Pa
1 torr	=	133.32 Pa
1 millibar	=	0.75 Torr
1 atmosphere	=	1.0133 bar
1 atmosphere	=	760 torr
1 atmosphere	=	1.0133×10^5 Pa
1 torr	=	1 mm Hg
1 micron Hg	=	1 milliTorr

Pascal / Newton per sq. meter
 1 torr = 133.322 Pa

Measurements of Pressure

Manometer

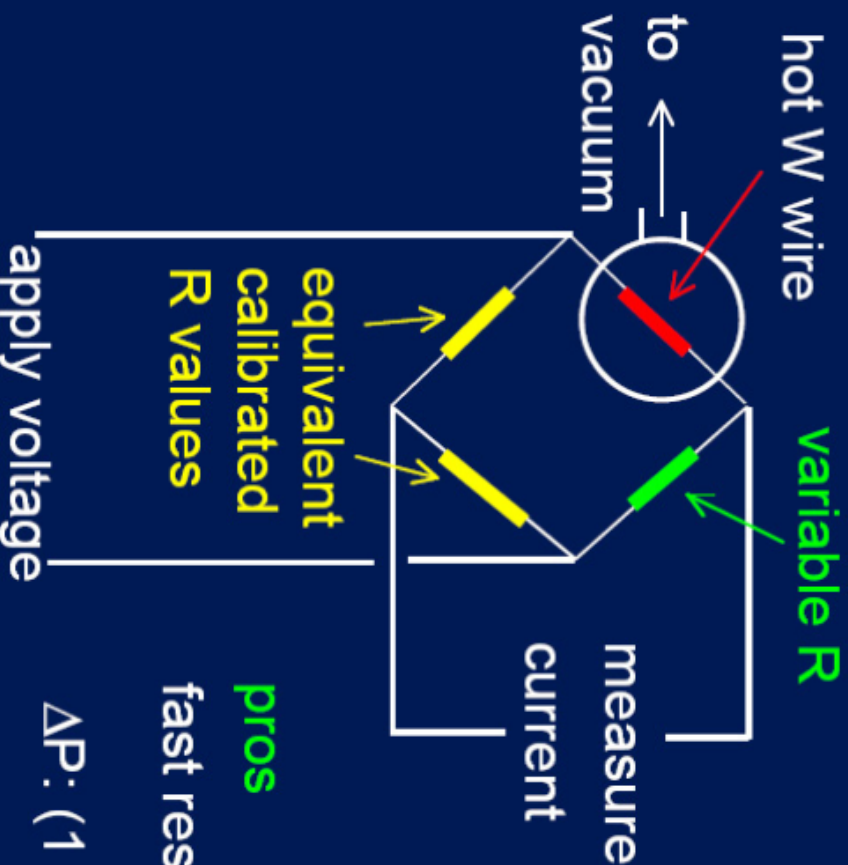


Pressures above 1 Torr

For pressures $< 1 \text{ torr}$

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Thermocouple Gauges



Operation

Thermal conductivity of gas varies with P. Vary the voltage on the Wheatstone bridge to maintain a constant R for the W wire.

pros

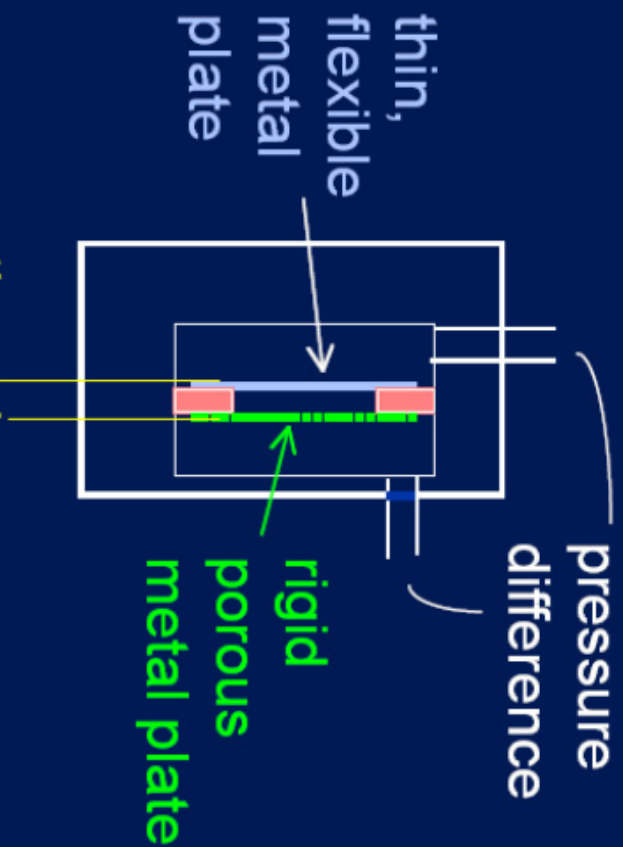
fast response

ΔP : (1 atm) to 1 mbar

Pressures ≤ 1 Torr cont.

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Capacitance Gauges



Operation

The capacitance of the system varies as the separation between the plates changes due to changes in ΔP .

pros

very accurate
(when calibrated)

cons

must be thermally insulated

connecting wires

ΔP : (1 atm) to about
0.001 mbar

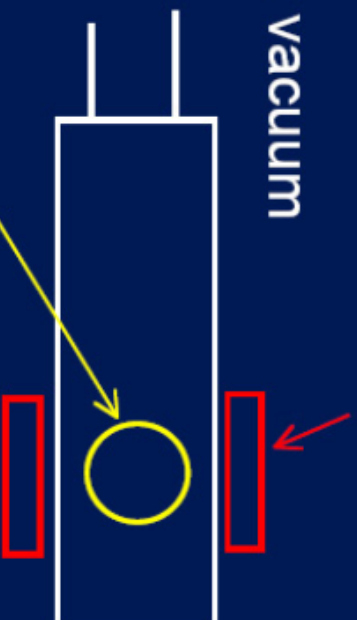
Pressure \approx 1 Torr

7.

Spinning Ball Rotors

oscillating
magnetic field

to vacuum



suspended
spinning ball

P: 10^{-1} to 10^{-7} mbar

Operation

Gas viscosity

depends on P.

Measure the energy
needed to keep the
ball spinning under
various P.

pros

highly accurate
(when calibrated)

Pressure \propto Torr. Cont.

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Ion Gauges

(the components are all electrically insulated from one another)

filament

collector

Operation

Electrons are pulled from the filament by the extractor. Gas ionization occurs depending on P. The collector measures the net current.

pros

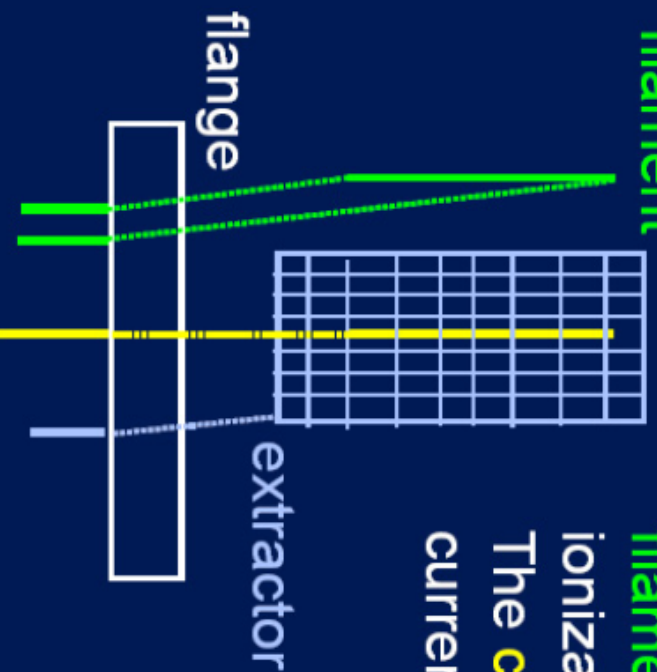
100 % UHV compatible

cons

not highly accurate (especially at low UHV)
filaments oxidize

external connections

AP: 10^{-4} to about 10^{-10} mbar



Vacuum Pumps

Terminology

Pumping Speed (S)	L/s
Pumping Rate (Q)	mbar L/s
Leak Rate (Q_L)	mbar L/s
Outgassing Rate (Q_O)	mbar L/s

$\dot{P}V = \dot{n}RT$ therefore \dot{n} is proportional to $\dot{P}V$

Types

The following are the major pump types for vacuum applications.

Type

gas transfer

Mechanical

rotary

turbomolecular

non-Mechanical

sorption

diffusion

entrapment

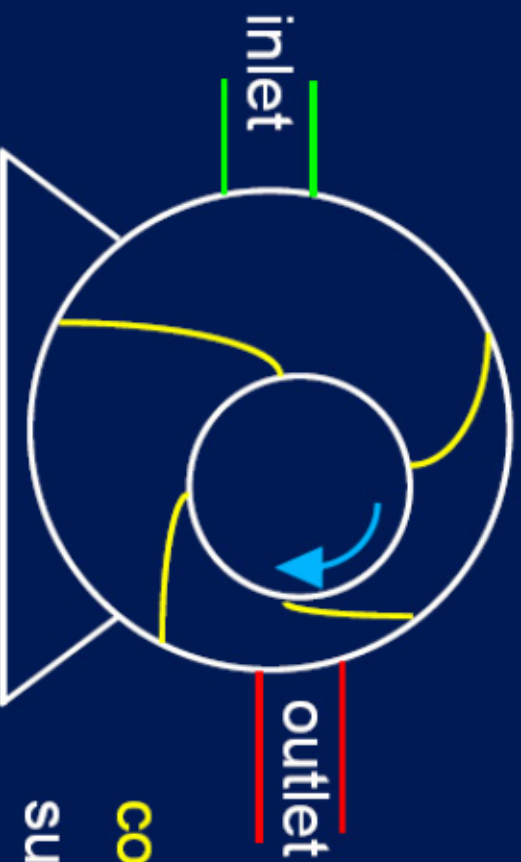
cryo

ion

sublimation

Gas transfer pumps remove gas permanently from the chamber, entrapment do not.

Rotary



pros

low maintenance
high Q

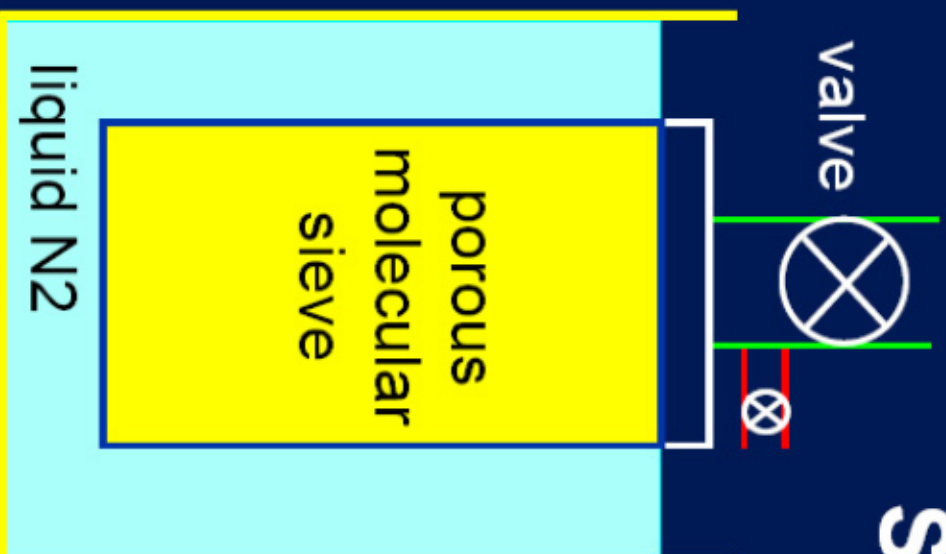
cons

subject to wear
not UHV compatible
do not pump condensable
gases well
cause vibrations

operation

$$P_i V_i = P_f V_f$$

Sorption



operation

molecular adsorption on large surface area (100s of m²)

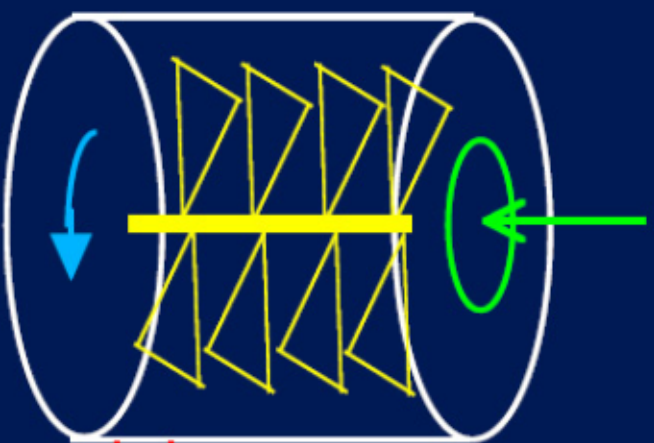
pros

- very low maintenance
- no vibrations
- clean

cons

- Q depends strongly on P
- must be recharged each time
- not capable of reaching UHV

Turbomolecular



titled
vanes

very high rotation rate

operation

vanes change the direction of travel of gas molecules

pros

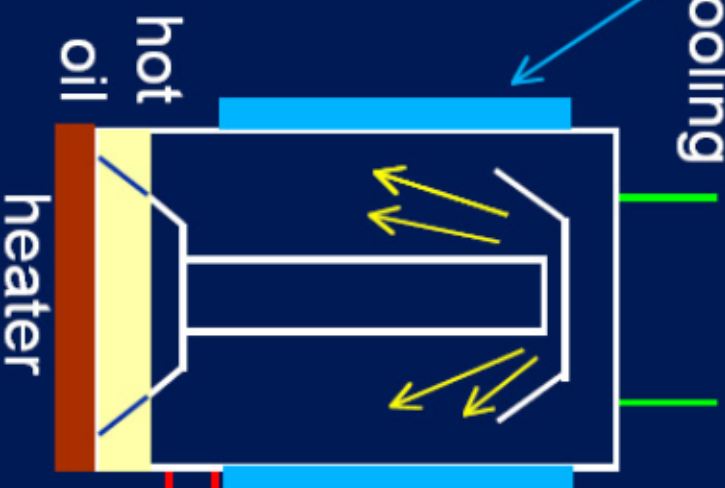
- wide range of P
- wide range of Q
- S nearly constant with P
- (nearly) 100% UHV compatible

cons

- pump light gases poorly
- requires additional stage
- causes vibrations

Diffusion

water
cooling



pros

- wide range of Q
- S nearly constant with P
- no vibrations
- pump light gases well

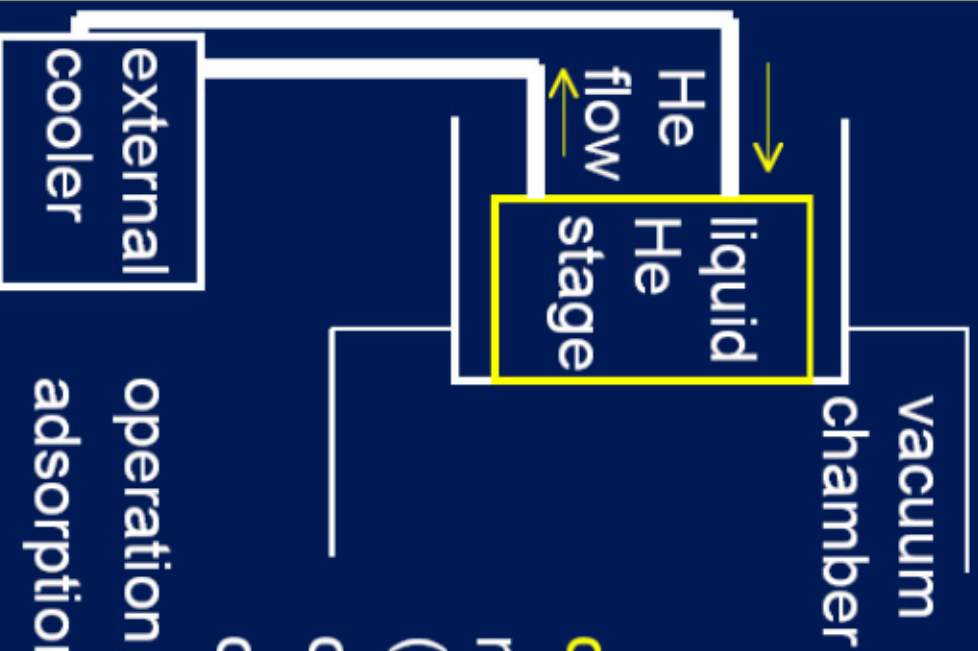
cons

- must use liquid N_2 trap to reach UHV
- requires additional stage
- high operational costs

operation

oil spray changes the momentum of gas molecules

Cryopump



pros

- high Q
- (nearly) 100 % UHV compatible

cons

- must recharge occasionally (other pumps needed)
- causes vibrations
- can be high maintenance

operation

adsorption of gases on the cold pump wall

Ion

operation

B field causes gas ions to spiral into walls and imbed themselves

pros

wide range of Q

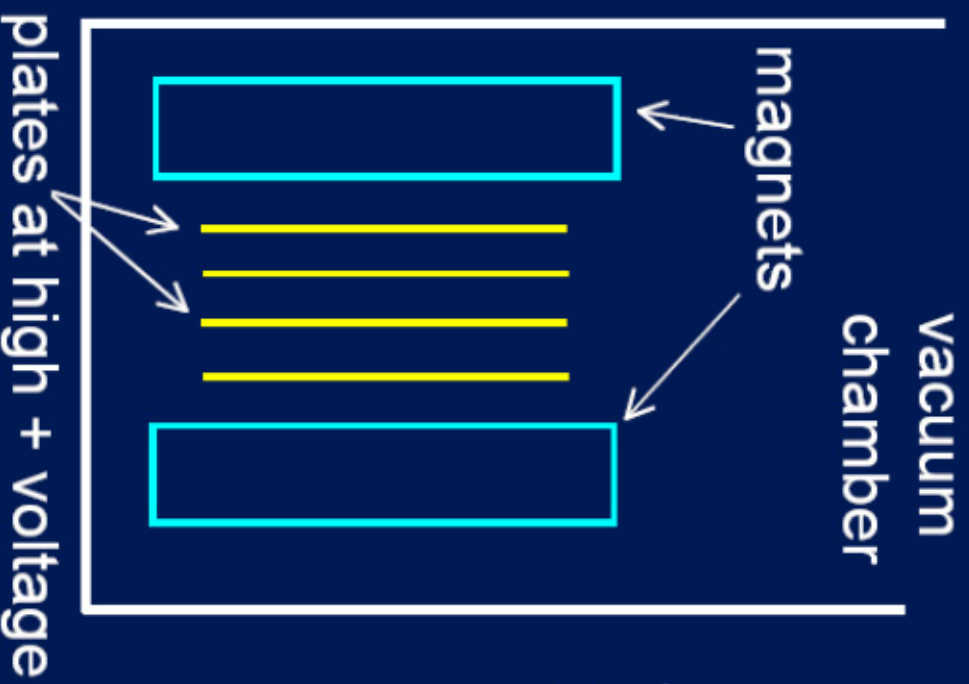
wide range of P

no vibrations

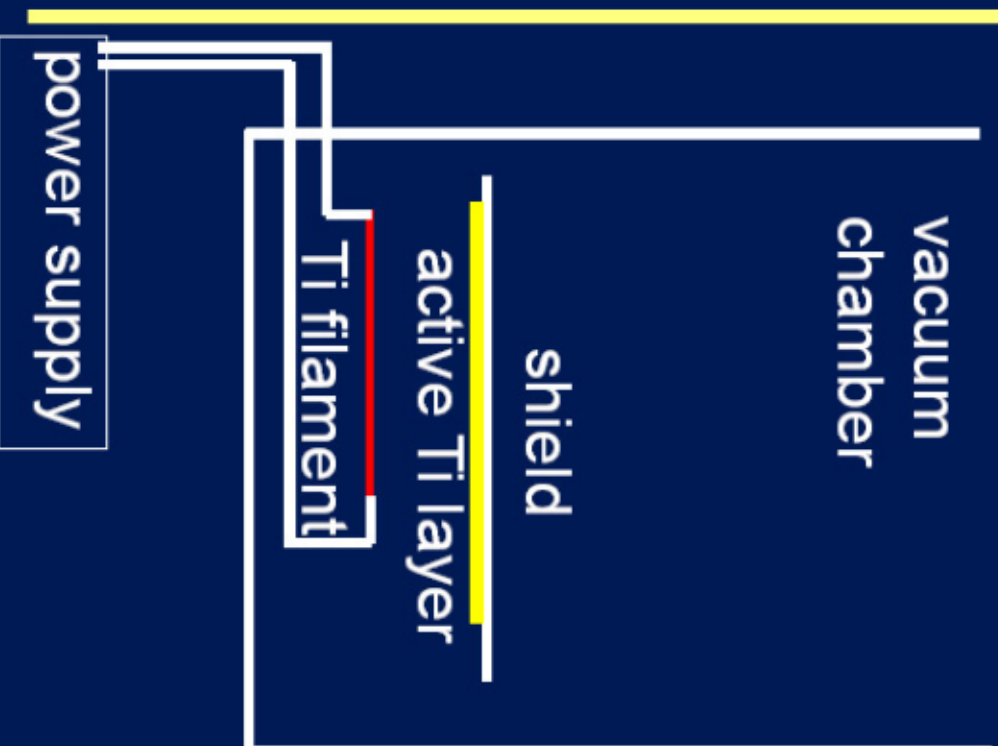
cons

magnetic field

high voltage



Sublimation



operation

freshly evaporated Ti
layeractively retains
adsorbing gas molecules

pros

no vibrations

100 % UHV compatible

cons

not continuous

low Q

narrow range of P

Summary

Type	P range (mbar)	S (L/s)
rotary	1000 - 0.001	
sorption	1000 - 10^{-5}	
turbo	(1000) - UHV	
diffusion	10^{-5} - UHV	
ion	(1000) - UHV	
cryro	10^{-5} - UHV	
sublimation	10^{-7} - UHV	

Kind's of Vacuum

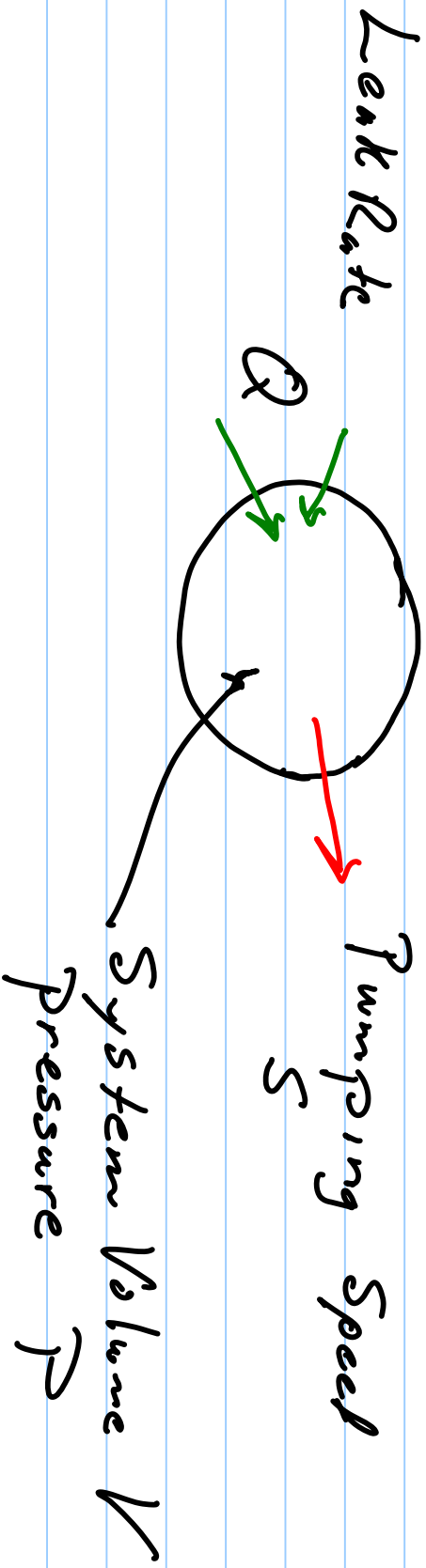
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Atmospheric pressure	760 Torr	101 kPa
Low vacuum	760 to 25 Torr	100 to 3 kPa
Medium vacuum	25 to 1×10^{-3} Torr	3 kPa to 100 mPa
High vacuum	1×10^{-3} to 1×10^{-9} Torr	100 mPa to 1 μ Pa
Ultra high vacuum	1×10^{-9} to 1×10^{-12} Torr	100 nPa to 100 pPa
Extremely high vacuum	$< 1 \times 10^{-12}$ Torr	< 100 pPa
Outer Space	1×10^{-6} to $< 3 \times 10^{-17}$ Torr	100 μ Pa to < 3 fPa
Perfect vacuum	0 Torr	0 Pa

- **Atmospheric pressure** is variable but standardized at 101.325 kPa (760 Torr)
- **Low vacuum**, also called *rough vacuum* or *coarse vacuum*, is vacuum that can be achieved or measured with rudimentary equipment such as a vacuum cleaner and a liquid column manometer.
- **Medium vacuum** is vacuum that can be achieved with a single pump, but is too low to measure with a liquid or mechanical manometer. It can be measured with a McLeod gauge, thermal gauge or a capacitive gauge.
- **High vacuum** is vacuum where the MFP of residual gases is longer than the size of the chamber or of the object under test. High vacuum usually requires multi-stage pumping and ion gauge measurement. Some texts differentiate between high vacuum and *very high vacuum*.
- **Ultra high vacuum** requires baking the chamber to remove trace gases, and other special procedures.

Vacuum Concepts

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Pump-down Equation

$$P S = -V \frac{dP}{dt} + Q$$

Leak Rate

$$Q = Q_1 + Q_0$$

Q_1 true leak rate

Q_0 virtual leak rate (outgassing)

Soln:

i) Short time limit

$$P = P_0 e^{-\tau/V} \quad \text{where } \tau = V/S$$

- leak rate neglig. \ll b/g

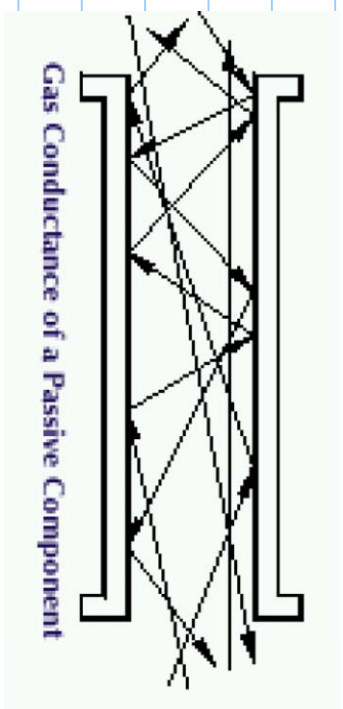
ii) long time limit

$$P_u = Q/S$$

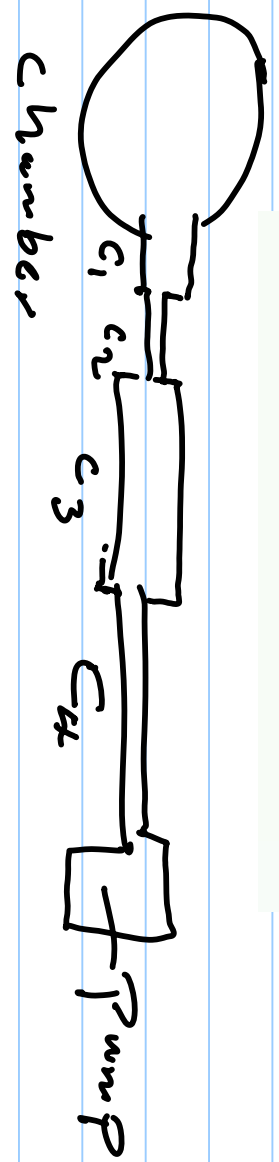
ultimate
pressure

If no leaks $Q_i = Q_o$ depends on
Surface porosity

Idea of Conductance



Pipe work



Effective Pump Speed

$$S^{-1} = \sum v^{-1} c_i^{-1} + S_0^{-1}$$

Measurement of System Pressure

- different at different points
in system

By continuity

$$P_0 S_0 = P S = Q$$

Since

$$Q = (P - P_0)$$

Thus

$$P - P_0 = P_0 S_0 / \epsilon \Rightarrow P = P_0 \left(1 + \frac{S_0}{\epsilon}\right)$$

Problems when ϵ small, S_0 large

UHV?

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Monolayer time

$$t = 3.2 \times 10^{-6} / P \text{ Un. by sticking Coefficient}$$

P in millibar

⇒ For 1 hour with less than a monolayer

$$P < 10^{-9} \text{ millibar}$$

Vacuum Theory (Ideal Gas)

(non-interacting gas)

mean velocity

$$v = \left(\frac{8kT}{\pi M} \right)^{1/2}$$

mean free path

$$\lambda = \frac{1}{\sqrt{2} \pi d_0^2 n}$$

gas density

For air at room temp

$$\lambda_{\text{mean}} = 6.6/p, \quad P_{\text{in}} = P_{\text{a}}$$

Flux

$$F = n \left(\frac{kT}{2\pi m} \right)^{1/2}$$

For a fixed volume containing
different non-interacting gases

$$P_{\text{T}} = n_1 kT + n_2 kT + \dots + n_i kT = \sum_i P_i$$

Three Regimes of Gas Flow

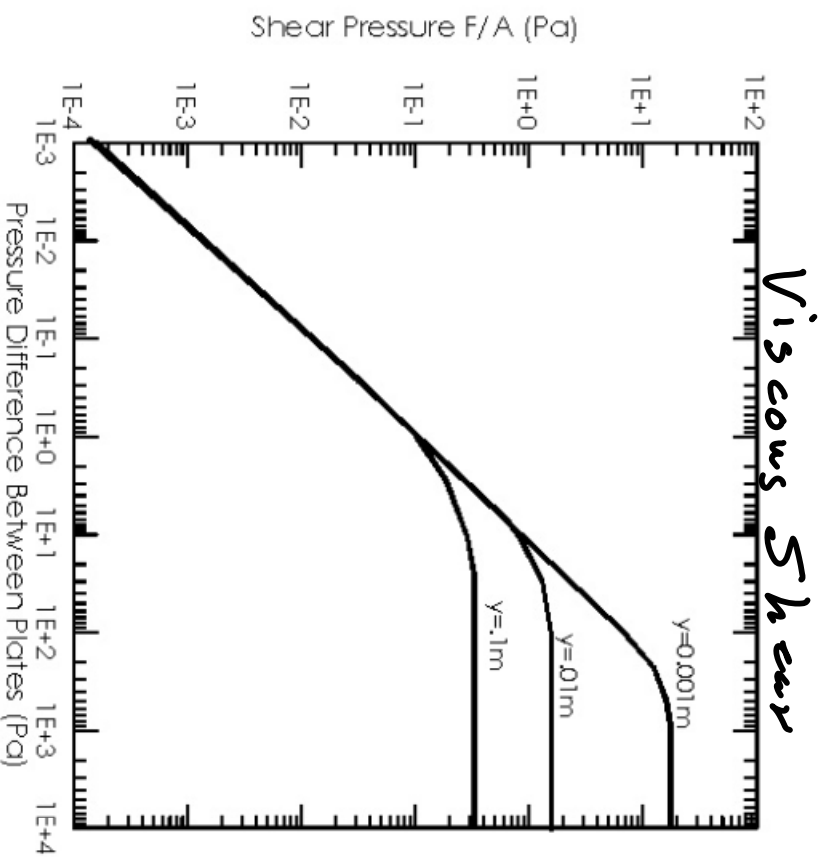
- 1) Mean free path $<$ Characteristic dimension d

$$\frac{\lambda}{d} \ll 1$$

Viscous force independent of pressure

- 2) $\lambda/d \gg 1$ free-molecular flow regime
viscous drag \propto pressure

3) Knudsen or transition flow
in between limits



1.3. General Vacuum Chamber Features



Several different types of pumps (different operating pressures)

Pressure measurement (multiple gauges)

Sample movement, heating, cooling, cleaning, viewing

Gas/vapor admission system (controlled "leak")

Multiple analytical techniques (neutral or charged particles or radiation)