

# Transport and symmetry breaking in strongly correlated systems with topological order

Shubhayu Chatterjee  
Harvard University

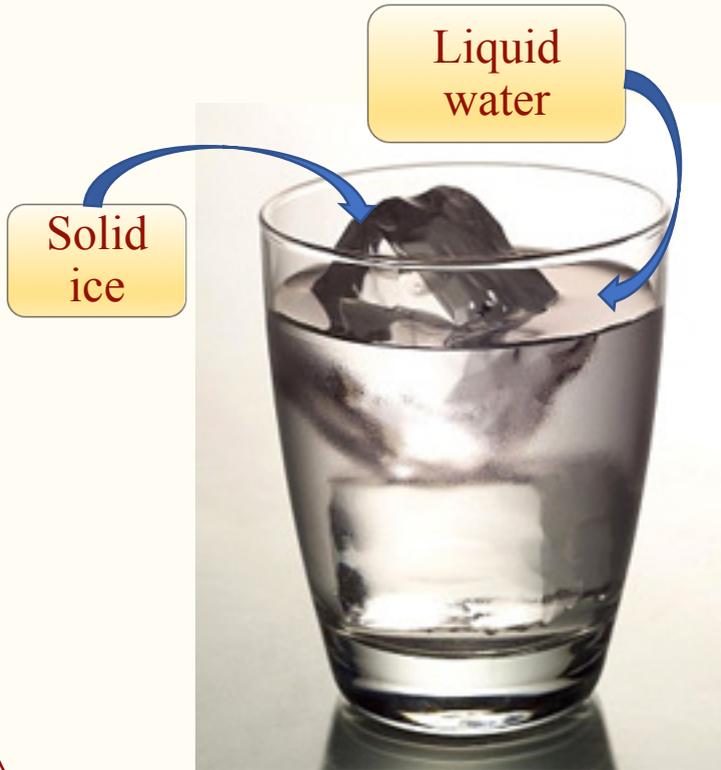
Thesis Defense  
April 4, 2018

HARVARD  
UNIVERSITY



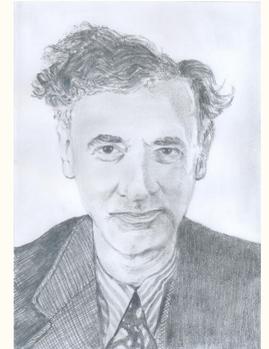
# Conventional phases of matter

- Paradigm of symmetry breaking and local order parameters



At room temperature, this magnet attracts iron pins.

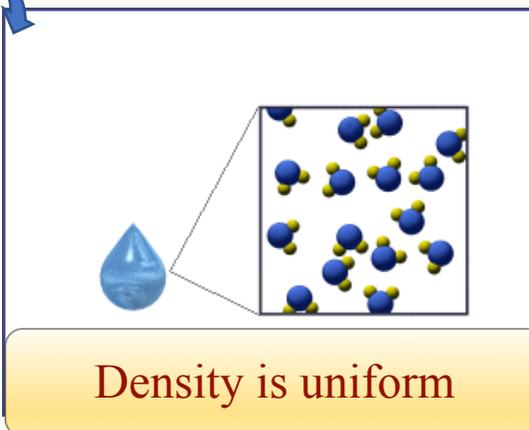
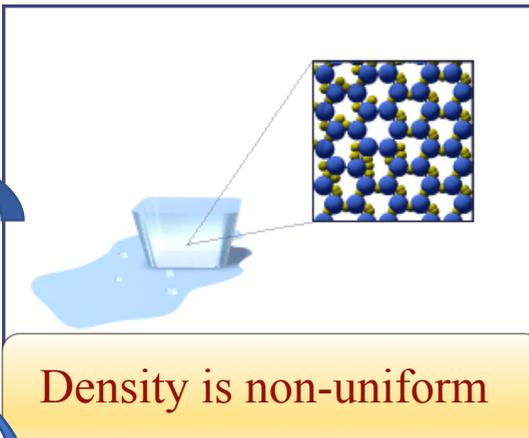
When heated, it stops doing so



Lev. D. Landau

# Conventional phases of matter

- Paradigm of symmetry breaking and local order parameters

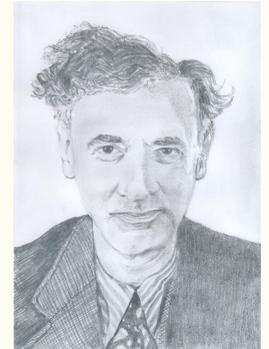
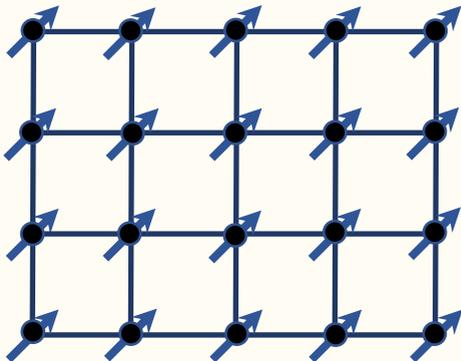


Lev. D. Landau

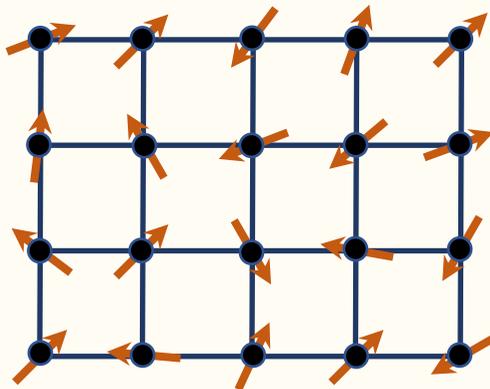
# Conventional phases of matter

- Paradigm of symmetry breaking and local order parameters

At room temperature, tiny magnetic moments inside the magnet are aligned



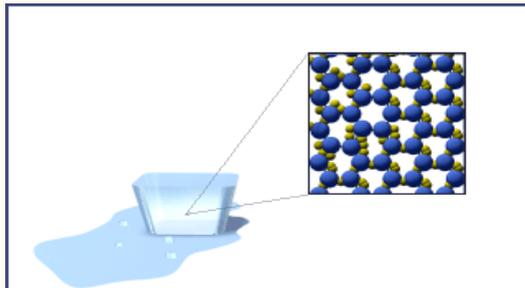
Lev. D. Landau



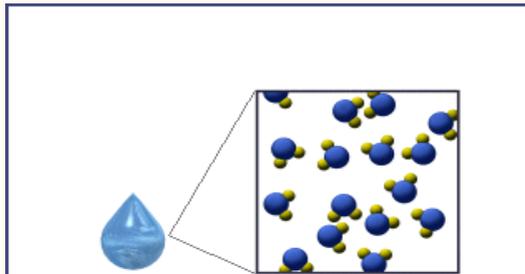
When heated, the moments point in random directions, and the net moment is zero

# Conventional phases of matter

- Paradigm of symmetry breaking and local order parameters

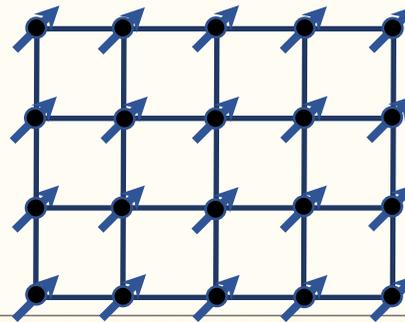


Density is non-uniform

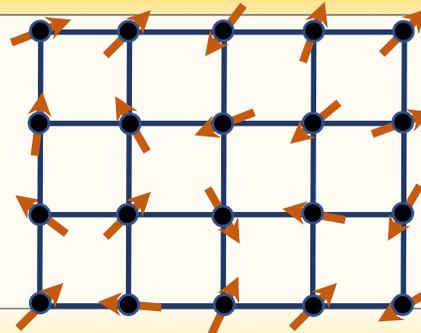


Density is uniform

Translation symmetry

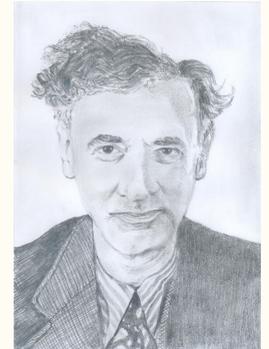


Moments are aligned



Moments point random

Rotation symmetry of moments



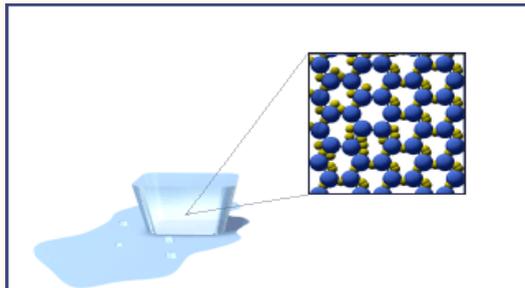
Lev. D. Landau

Common theme at low temperature:

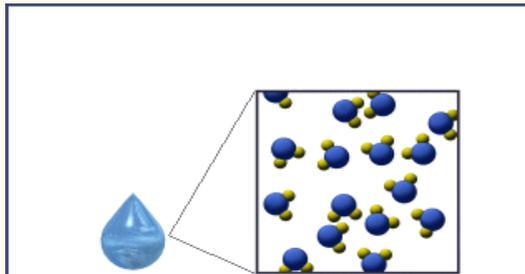
- Symmetry is broken

# Conventional phases of matter

- Paradigm of symmetry breaking and local order parameters

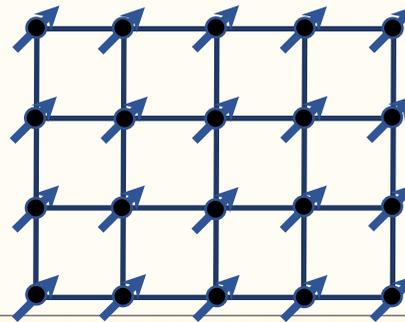


Density is non-uniform

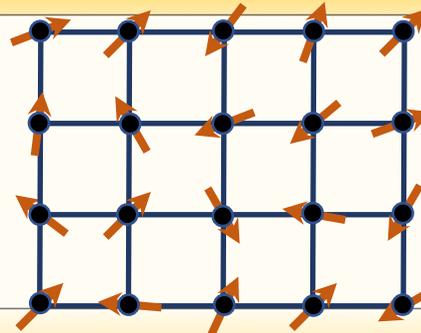


Density is uniform

Order parameter: Density



Moments are aligned



Moments point random

Order parameter: Magnetization



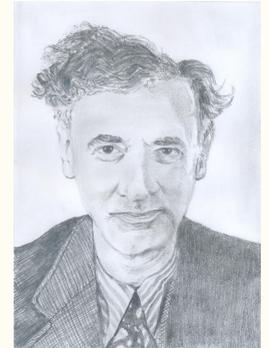
Lev. D. Landau

Common theme at low temperature:

- Symmetry is broken
- Non-zero local order parameter

# Conventional phases of matter

- Fermi liquid theory for metals

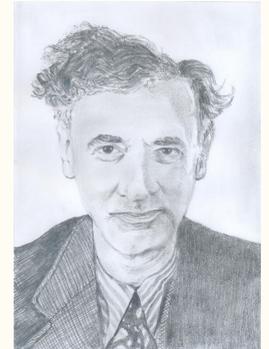
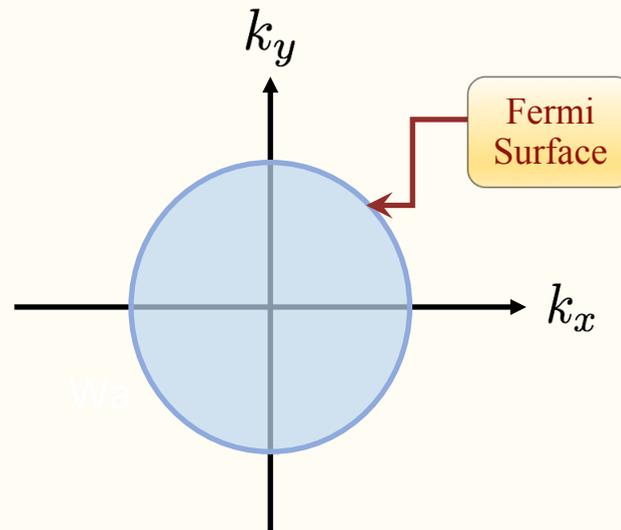


Lev. D. Landau

- Shiny, good conductors of heat and electricity
- Lots of highly mobile electrons which can easily move around

# Conventional phases of matter

- Fermi liquid theory for metals



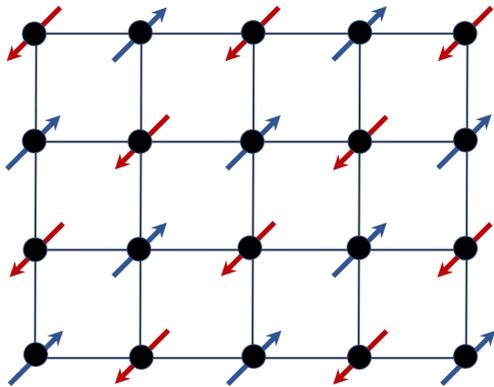
Lev. D. Landau

- Gapless excitations close to the Fermi surface (even with interactions)
- Volume of Fermi surface = density of electrons [Luttinger's Theorem]

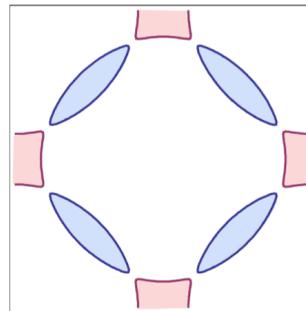
Luttinger PR **119** 1153, 1960, Oshikawa PRL **84**, 3370 (2000)

# Conventional phases of matter

- Fermi surface *reconstructs* if an electronic order parameter break translation discrete translation symmetry of the lattice

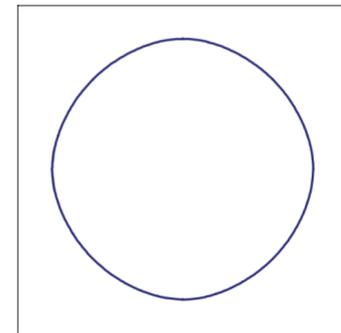


$\vec{\varphi}$  is the Néel order parameter



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron and hole pockets



$$\langle \vec{\varphi} \rangle = 0$$

Metal with “large” Fermi surface

Figure Credits: S. Sachdev

# Questions beyond conventional phases

- Can distinct phases occur without a local order parameter?
- What are the nature of emergent quasiparticles in these phases?
- Does Fermi surface reconstruction need to coincide with breaking of lattice translation symmetry?  
In other words, is it possible to violate Luttinger's Theorem?

# Questions beyond conventional phases

- Can distinct phases occur without a local order parameter?
- What are the nature of emergent quasiparticles in these phases?
- Does Fermi surface reconstruction need to coincide with breaking of lattice translation symmetry?  
In other words, is it possible to violate Luttinger's Theorem?

Wa

- **Yes**, via *topological order*!
- The electron can split into independent charge and spin degrees of freedom (*fractionalization*).
- Luttinger's theorem is violated in a precise fashion.

# Topological order/fractionalization

Relevance to pseudogap metal phase of cuprates

Bosonic charge carriers

Classification

PRB 2016

Transport properties and instabilities

PRB 2016

Fermionic charge carriers

FS reconstruction

Transport

PRB 2016  
PRB 2017

Discrete symmetry breaking

PRB 2017, PRL 2017

Comparison with numerical studies

arXiv:1707.06602, PNAS 2018

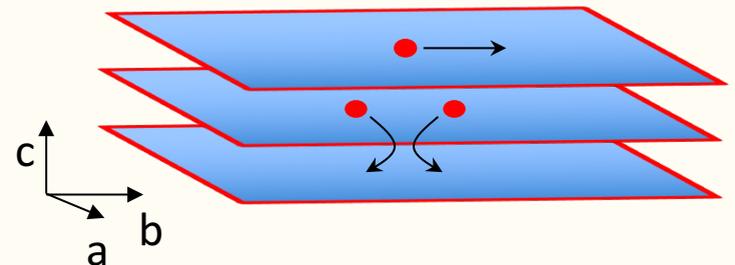
Experimental signatures of quantum-disordered magnets

Spin currents

PRB 2015

Thermal transport

arXiv:1708:02584



# Topological order/fractionalization

- Described in terms of emergent gauge fields.

Wa

# Topological order/fractionalization

- Described in terms of emergent gauge fields.
- What are gauge fields? Redundancies in our description of nature.



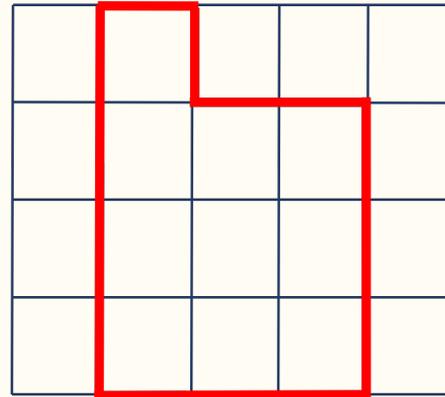
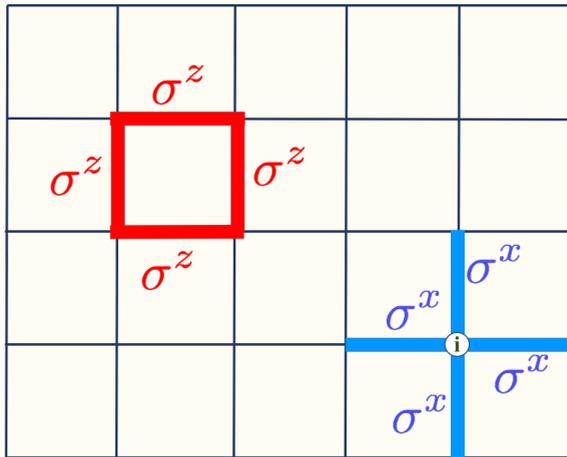
# Topological order/fractionalization

- Described in terms of emergent gauge fields.

- Wegner's  $Z_2$  gauge theory:  $H_{Z_2} = -K \sum_{\square} \prod_{\ell \in \square} \sigma_{\ell}^z - g \sum_{\ell} \sigma_{\ell}^x$

$$G_i = \prod_{\ell \in +(i)} \sigma_{\ell}^x, \quad [G_i, H_{Z_2}] = 0 \quad G_i = 1 \text{ on any physical state}$$

Wegner, J. Math Phys.  
12, 2259 (1971)



$$W_c = \prod_{\ell \in C} \sigma_{\ell}^z$$

$$\prod_{\ell \in \square} \sigma_{\ell}^z = e^{ib \square} \quad G_i = \prod_{\ell \in +(i)} \sigma_{\ell}^x = e^{i\pi \Delta \cdot e(i)}$$

$W_c \sim$  Perimeter Law

$W_c \sim$  Area Law

Deconfining Phase

$g_c$

Confining Phase

$g$

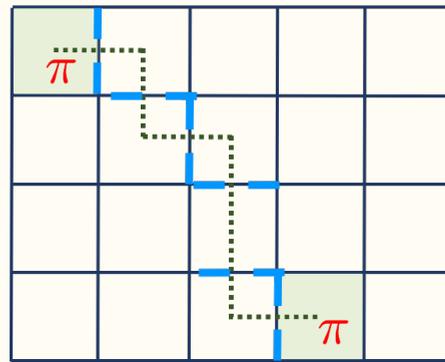
# Topological order/fractionalization

- Wegner's  $Z_2$  gauge theory  $H_{Z_2} = -K \sum_{\square} \prod_{\ell \in \square} \sigma_{\ell}^z - g \sum_{\ell} \sigma_{\ell}^x$

$$G_i = \prod_{\ell \in +(i)} \sigma_{\ell}^x, \quad [G_i, H_{Z_2}] = 0 \quad G_i = 1 \text{ on any physical state}$$

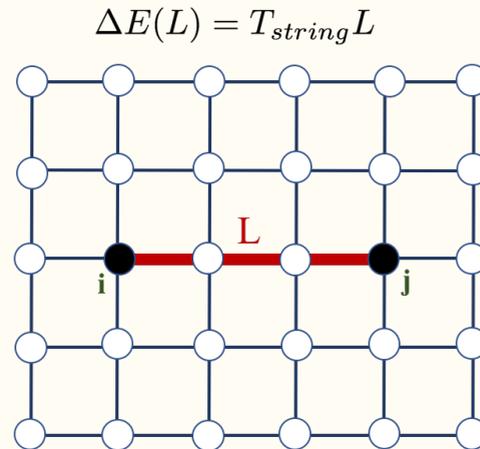
Gapped  $Z_2$  fluxes (visons):

- Created locally in pairs
- Connected by invisible string



$$E_{flux} \sim |g - g_c|^{\nu}$$

Deconfining Phase  
(Topological)



$$T_{string} \sim |g - g_c|^{\nu'}$$

Confining Phase  
(Non-topological)

Test  
electric  
charges  
confined

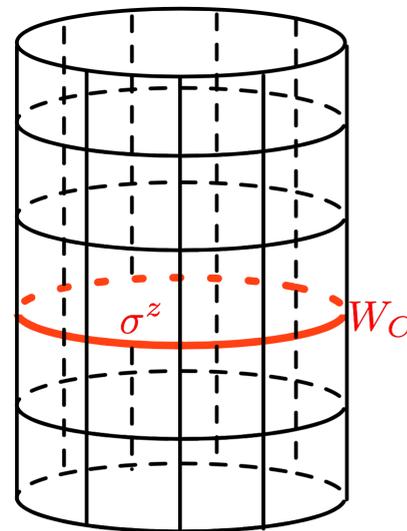
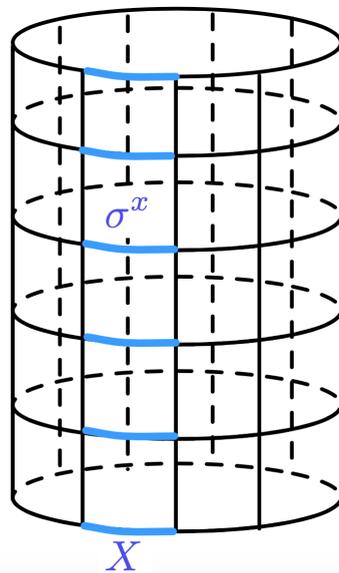
$g_c$   
3d Ising criticality

$g$

# Topological order/fractionalization

- Wegner's  $Z_2$  gauge theory
- Deconfined phase has topological ground state degeneracy (eg. On a torus), confined phase has unique ground state.

X creates a flux/vison through the hole of the cylinder



Wilson loop  $W_c$  detects the flux

$|G\rangle$  and  $|G'\rangle = X|G\rangle$  are distinct ground states

# Topological order/fractionalization

- Symmetry enrichment of topological phases
- Deconfined gauge charges can carry an additional fractional symmetry quantum numbers.
- In theories of fluctuating quantum magnetism, there are  $S = \frac{1}{2}$  spinon excitations in  $Z_2$  spin liquids with disordered ground states.

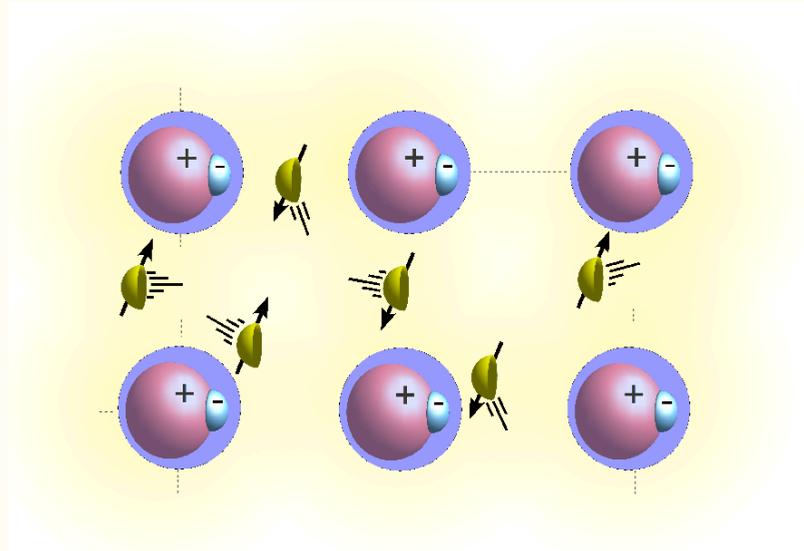
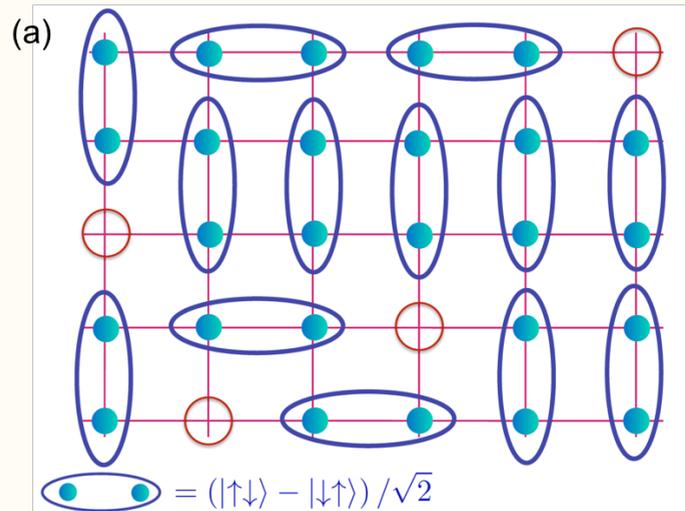


Figure Credits: T. Senthil

# Topological order/fractionalization

- A  $Z_2$  topologically ordered phase with a Fermi surface can *violate Luttinger's theorem!*

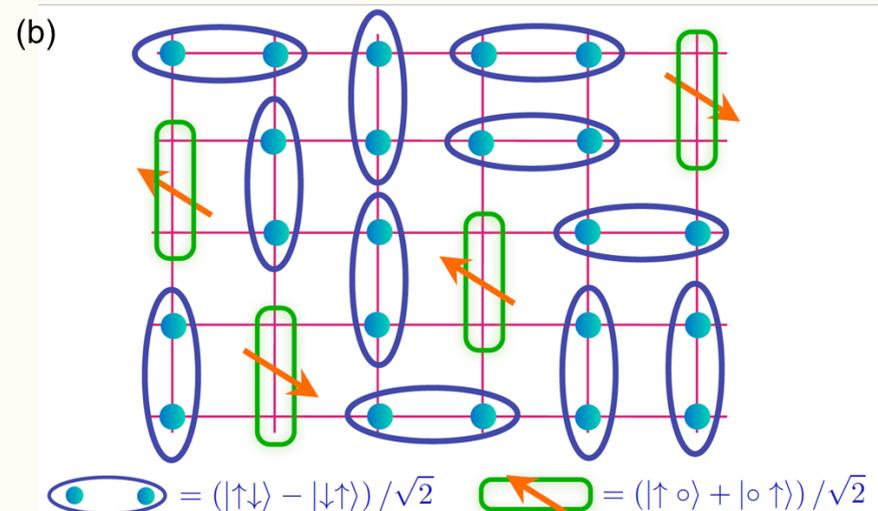
Senthil et al, PRL **90**, 216403 (2003)



Doped *holons* with density  $p$

Spinless charge carriers (*chargons*)

Punk et al, PNAS **112**, 9552 (2015)

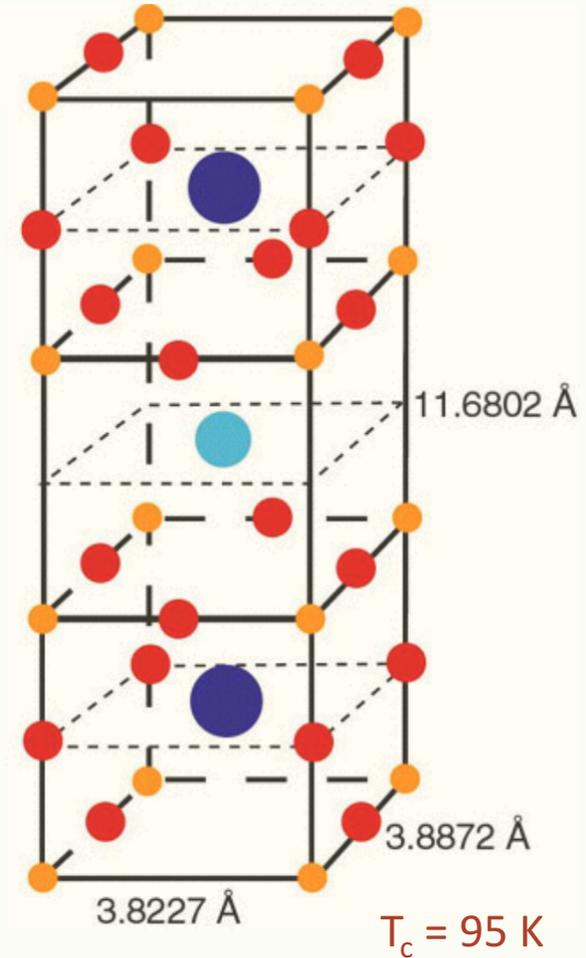
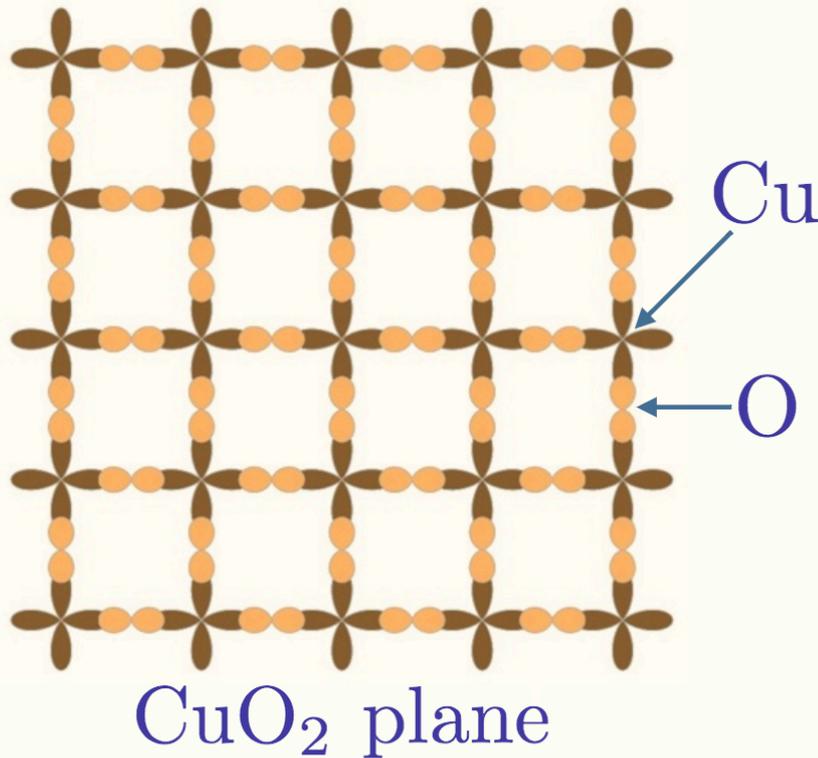


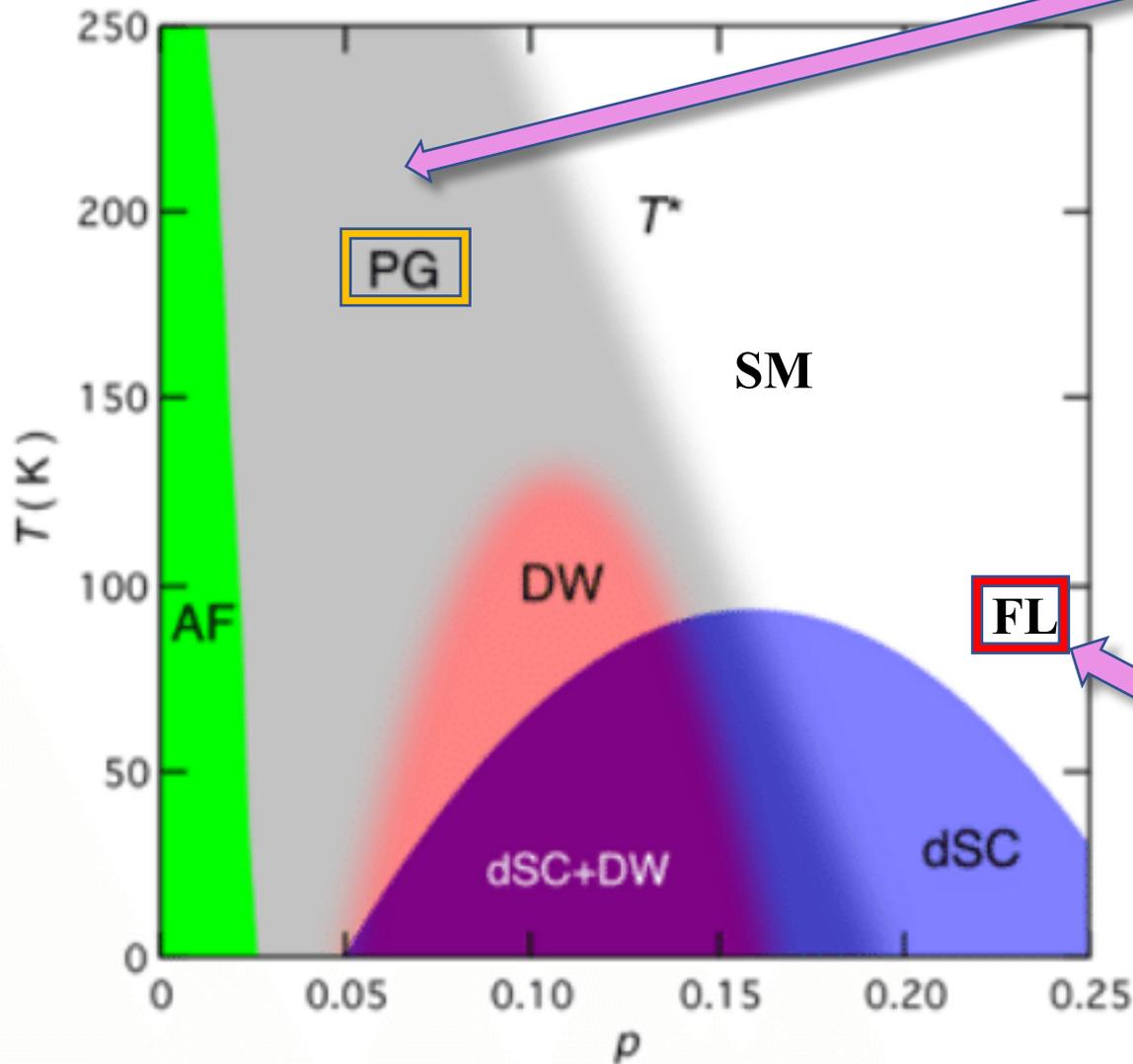
Holon-spinon bound states: FL\*

Spinful charge carriers (dimers) form Fermi surface of size  $p$ .  
Luttinger count is  $1+p$

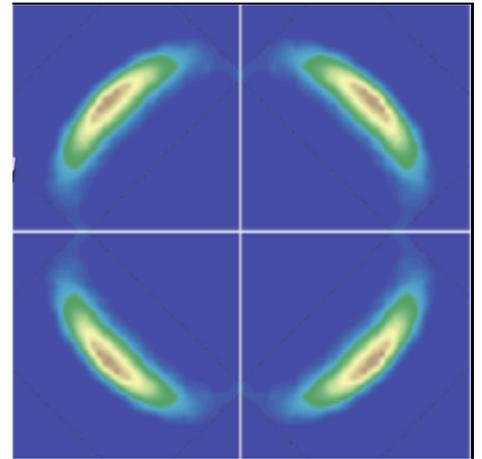
# Cuprate superconductors

High temperature  
superconductors





Pseudogap metal:  
Fermi arcs



Conventional Fermi liquid:  
Large hole Fermi surface of size  $1 + p$ .

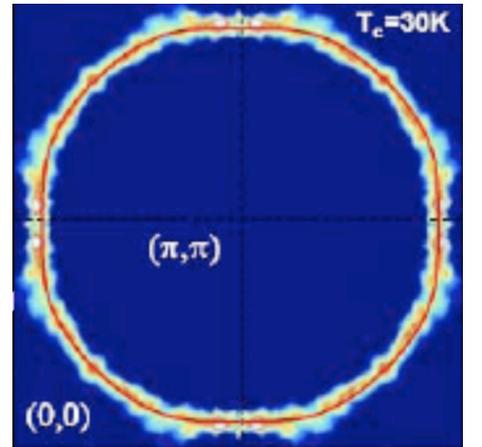
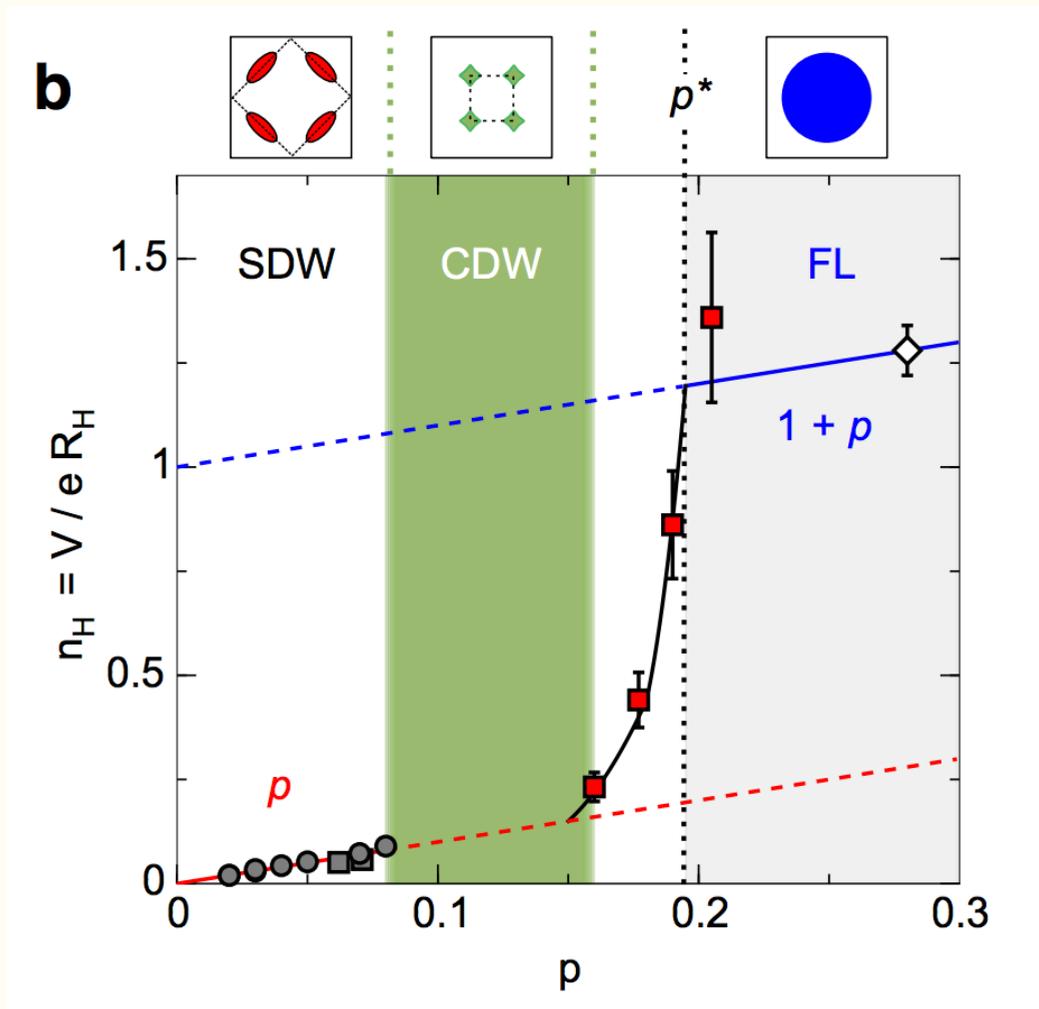


Figure credits: K. Fujita *et al*, Nature Physics **12**, 150–156 (2016)  
M. Plate *et al*, PRL. 95, 077001 (2005)

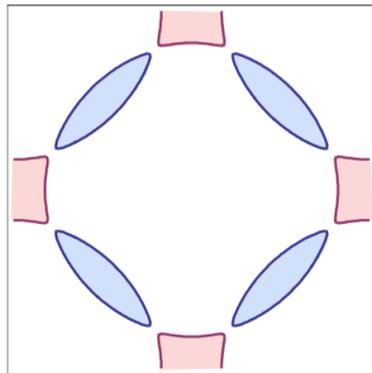
# Hall effect in cuprates at high fields



Badoux, Proust, Taillefer *et al*, Nature **531**, 210 (2016)

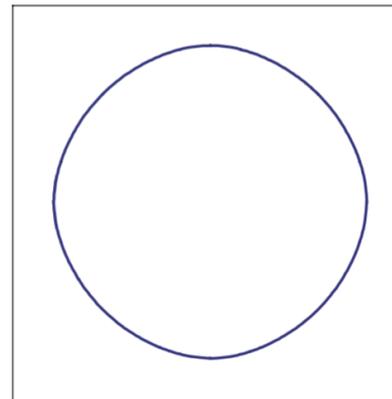
# How does the Fermi surface reconstruct?

Possibility 1: Symmetry breaking: Spin density wave (SDW) order



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron  
and hole pockets



$$\langle \vec{\varphi} \rangle = 0$$

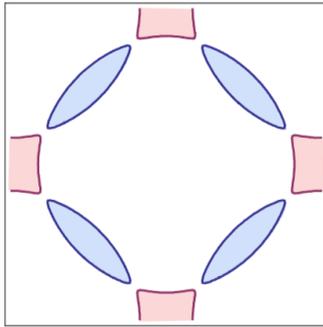
Metal with “large”  
Fermi surface



Image credits: S. Sachdev, Harvard

# How does the Fermi surface reconstruct?

Possibility 2: Topological order (no symmetry breaking)



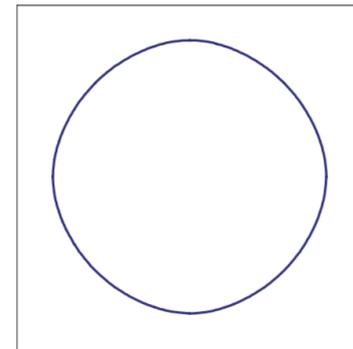
$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron and hole pockets

Electron and/or hole Fermi pockets form in “local” SDW order, but quantum fluctuations destroy long-range SDW order

$$\langle \vec{\varphi} \rangle = 0$$

Algebraic Charge liquid (ACL) or Fractionalized Fermi liquid (FL\*) phase with no symmetry breaking and pocket Fermi surfaces



$$\langle \vec{\varphi} \rangle = 0$$

Metal with “large” Fermi surface

# Additional discrete broken symmetries

- Nematic order: Broken  $C_4$  symmetry

Daou *et al*, Nature **463**, 519 (2010)

- Broken time-reversal symmetry  $\theta$  (?)

Mangin-Thro *et al*, Nat. Comms **6**, 7705 (2015), Simon & Varma, PRL **89**, 247003, 2002

- Broken inversion symmetry  $C_2$ . However,  $\theta C_2$ , the product of inversion and time-reversal seems to be preserved.

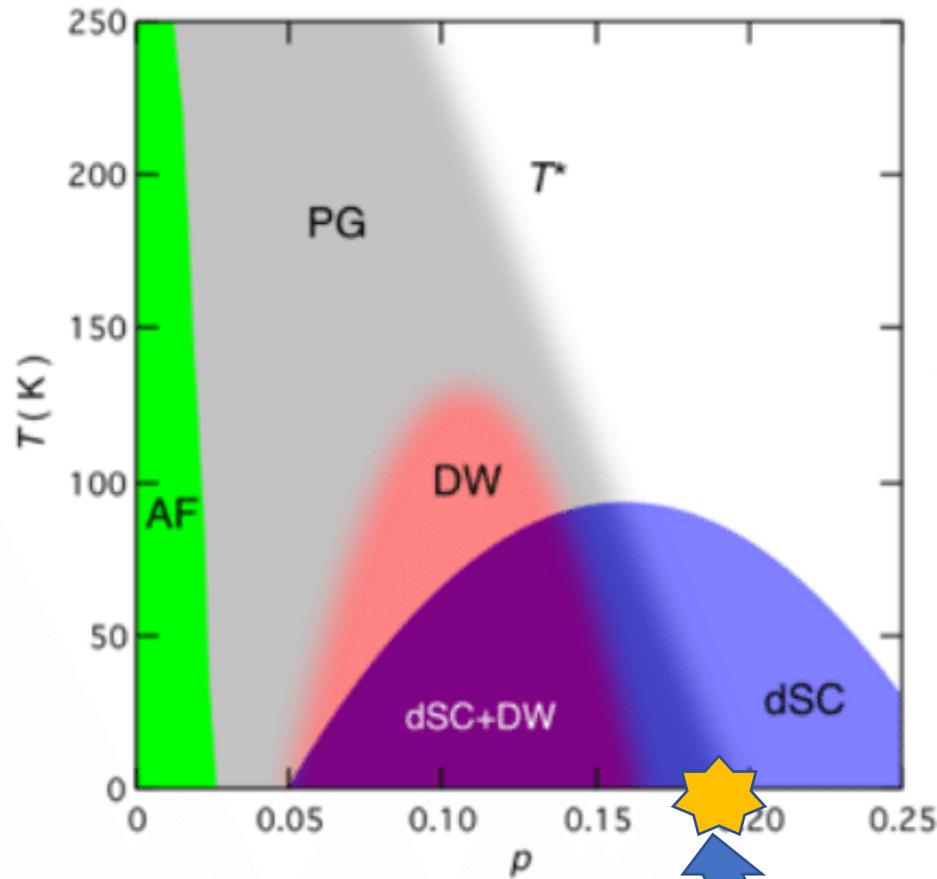
Zhao, Belvin, Hsieh *et al*, Nature Physics **13**, 250 (2017)

- No evidence of translation symmetry breaking in large parts of the phase diagram: Even with discrete broken symmetries, Small FS violates Luttinger's Theorem and requires *topological order*.

T. Senthil *et al*, PRL **90**, 216403 (2003)

Paramekanti *et al*, PRB **70**, 245118 (2004)

# What is going on under the dome?



Is there a quantum critical point (QCP) at optimal doping under the superconducting dome?

What is the nature of the associated phase transition? Symmetry-breaking or topological?

Figure credits: K. Fujita *et al*, Nature Physics **12**, 150–156 (2016)



**What is better  
than one pot of  
hunny?**

Figure credits: Wikipedia



twv

Figure credits: Disney Clip Art

# What is going on under the dome?

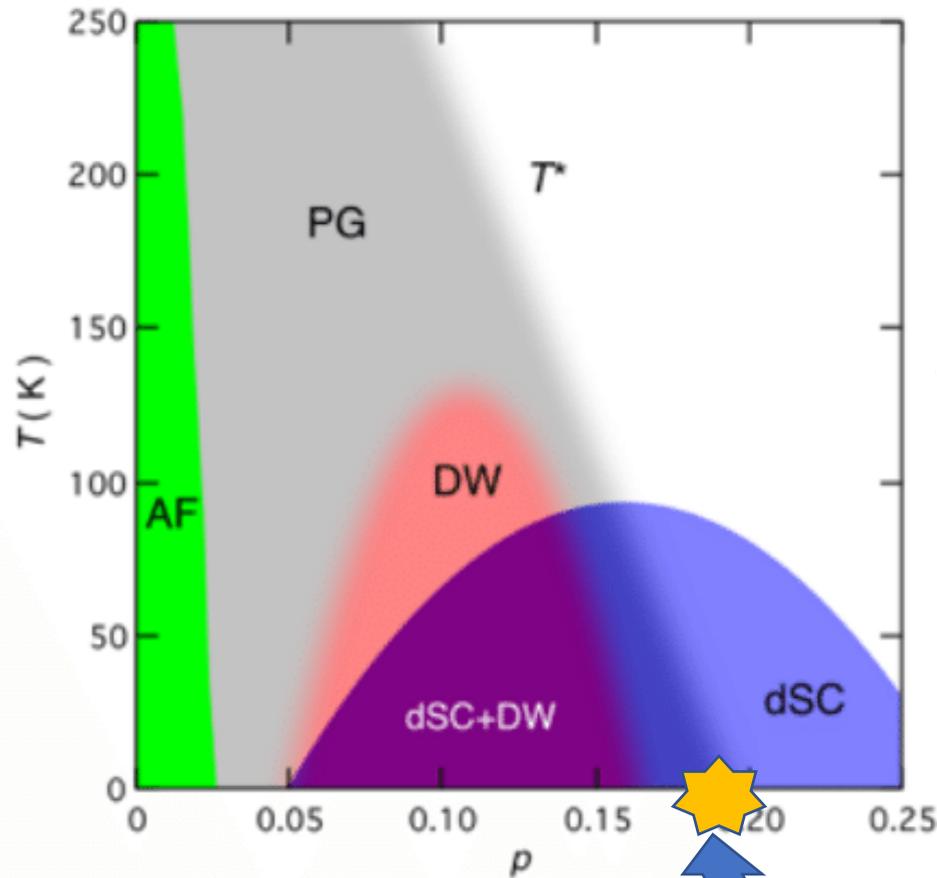


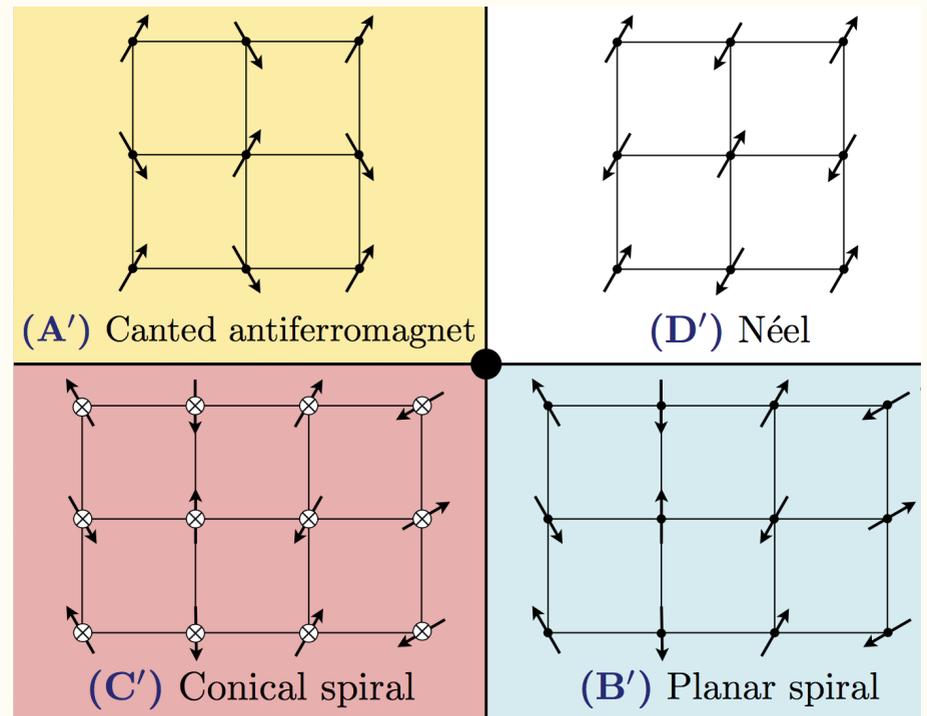
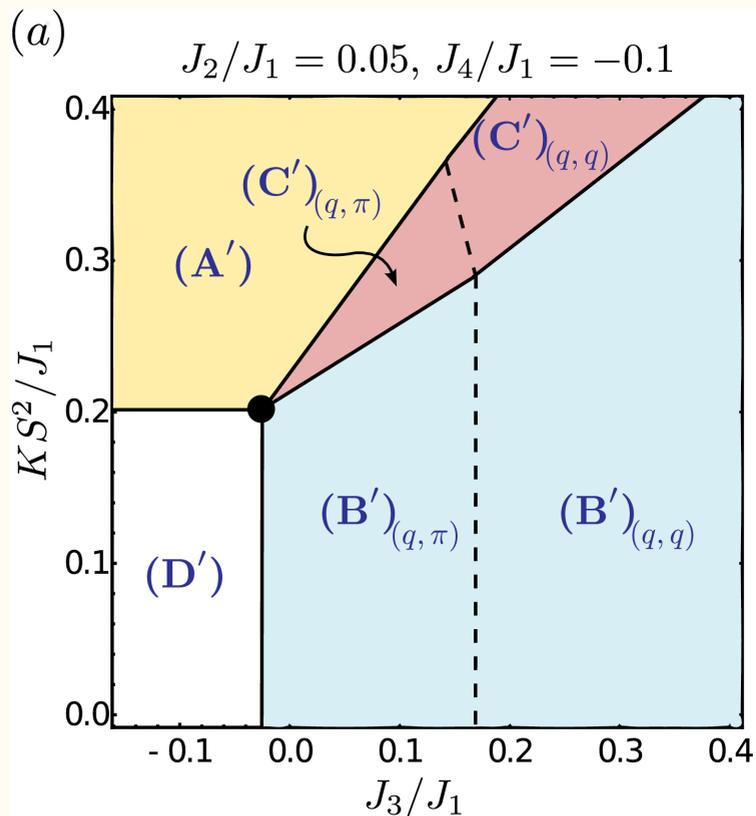
Figure credits: K. Fujita *et al*,  
Nature Physics **12**, 150–156 (2016)

**Why not  
both?**

**Topological  
QCP with  
associated  
discrete  
symmetry  
breaking!**

# Phases near the Neel state: Classical phase diagram

Square lattice AF with Heisenberg exchanges  $J_1, J_2, J_3$  and  $J_4$  and ring exchange  $K$



# Topological order in the CP<sup>1</sup> theory

Quantum disorder the spins: Spin-rotation and translation invariance regained. Discrete symmetries remain broken.

$$\mathbf{n} = z_{\alpha}^* \vec{\sigma}_{\alpha\beta} z_{\beta}$$

$$\alpha, \beta = \uparrow, \downarrow, \quad \sum_{\alpha} |z_{\alpha}|^2 = 1$$

$$S = \frac{1}{2g} \int d^2r dt (\partial_{\mu} \mathbf{n})^2$$

$$\rightarrow \frac{1}{2g} \int d^2r dt |(\partial_{\mu} - ia_{\mu}) z_{\alpha}|^2$$

The CP<sup>1</sup> theory has emergent U(1) gauge field a<sub>μ</sub>: Unstable to confinement

Simplest Higgs fields: Spin rotation invariant long-wavelength spinon pairs:

$$P \sim \varepsilon_{\alpha\beta} z_{\alpha} \partial_t z_{\beta} \quad , \quad Q_a \sim \varepsilon_{\alpha\beta} z_{\alpha} \partial_a z_{\beta} \quad \text{Read \& Sachdev, PRL 66, 1773 (1991)}$$

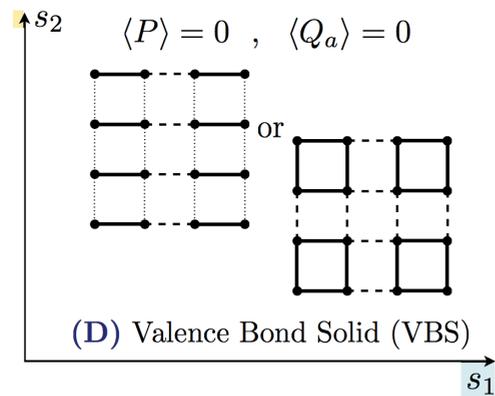
Gauge invariance + symmetry:

$$\mathcal{L} = \frac{1}{g} |(\partial_{\mu} - ia_{\mu}) z_{\alpha}|^2 + s_1 |P|^2 + s_2 |Q_a|^2$$

# Topological order in the $CP^1$ theory

**Quantum disorder the spins: Spin-rotation and translation invariance regained. Discrete symmetries remain broken.**

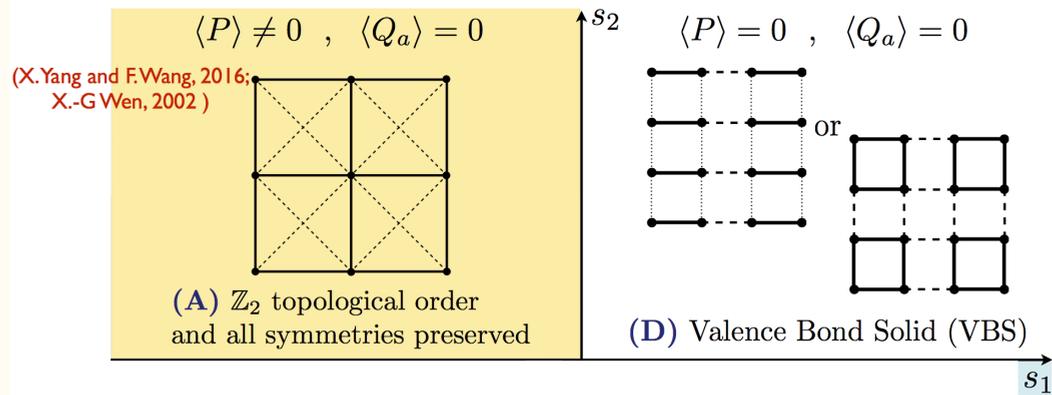
Phase diagram at large  $g$  with  $\langle z_\alpha \rangle = 0$



# Topological order in the $CP^1$ theory

Quantum disorder the spins: Spin-rotation and translation invariance regained. Discrete symmetries remain broken.

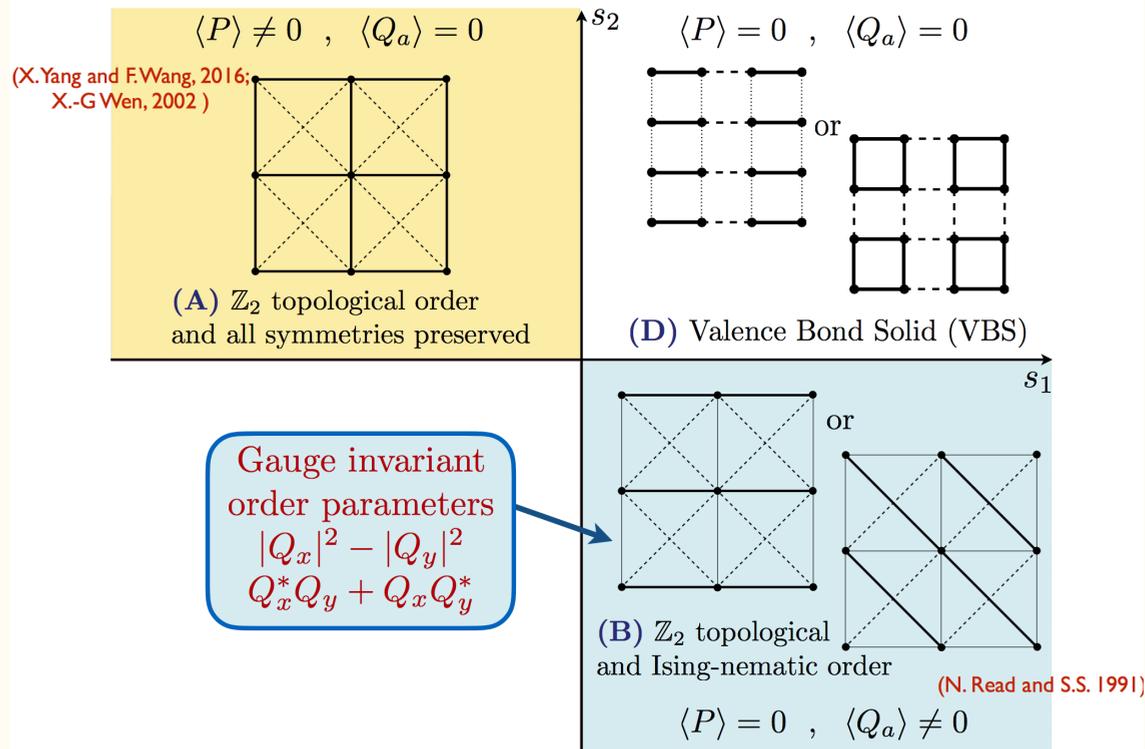
Phase diagram at large  $g$  with  $\langle z_\alpha \rangle = 0$



# Topological order in the $CP^1$ theory

Quantum disorder the spins: Spin-rotation and translation invariance regained. Discrete symmetries remain broken.

Phase diagram at large  $g$  with  $\langle z_\alpha \rangle = 0$

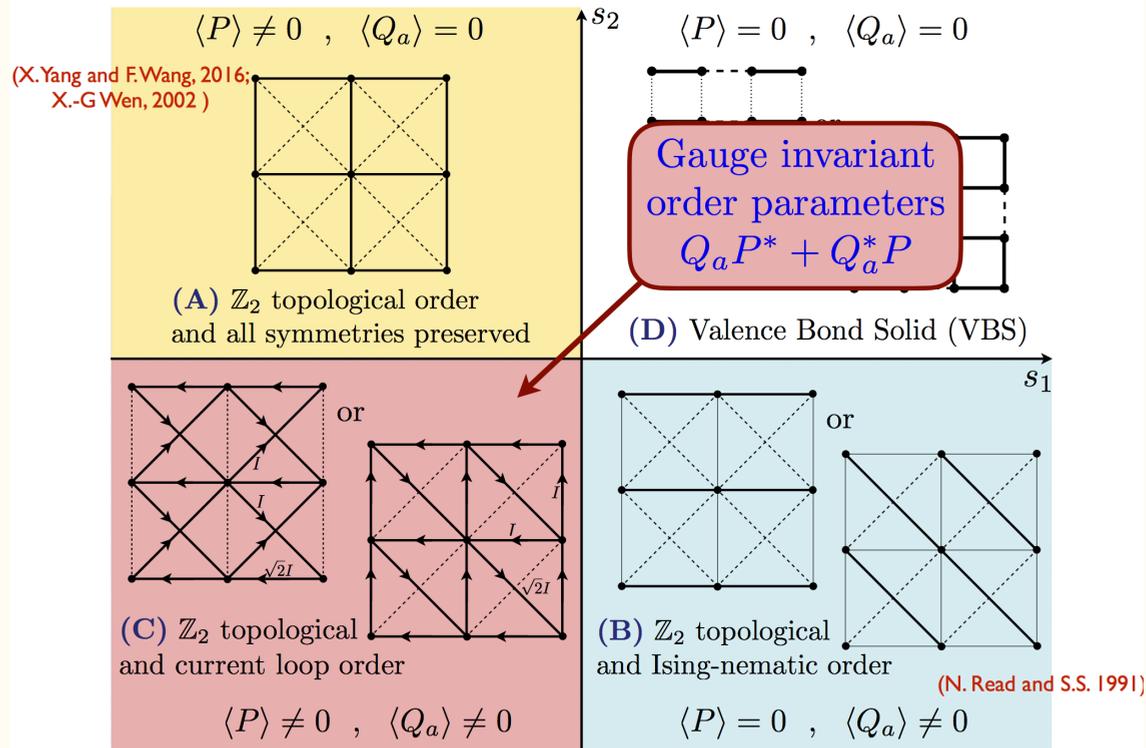


# Topological order in the $CP^1$ theory

Quantum disorder the spins: Spin-rotation and translation invariance regained. Discrete symmetries remain broken.

Phase diagram at large  $g$  with  $\langle z_\alpha \rangle = 0$

Three phases with  $Z_2$  topological order

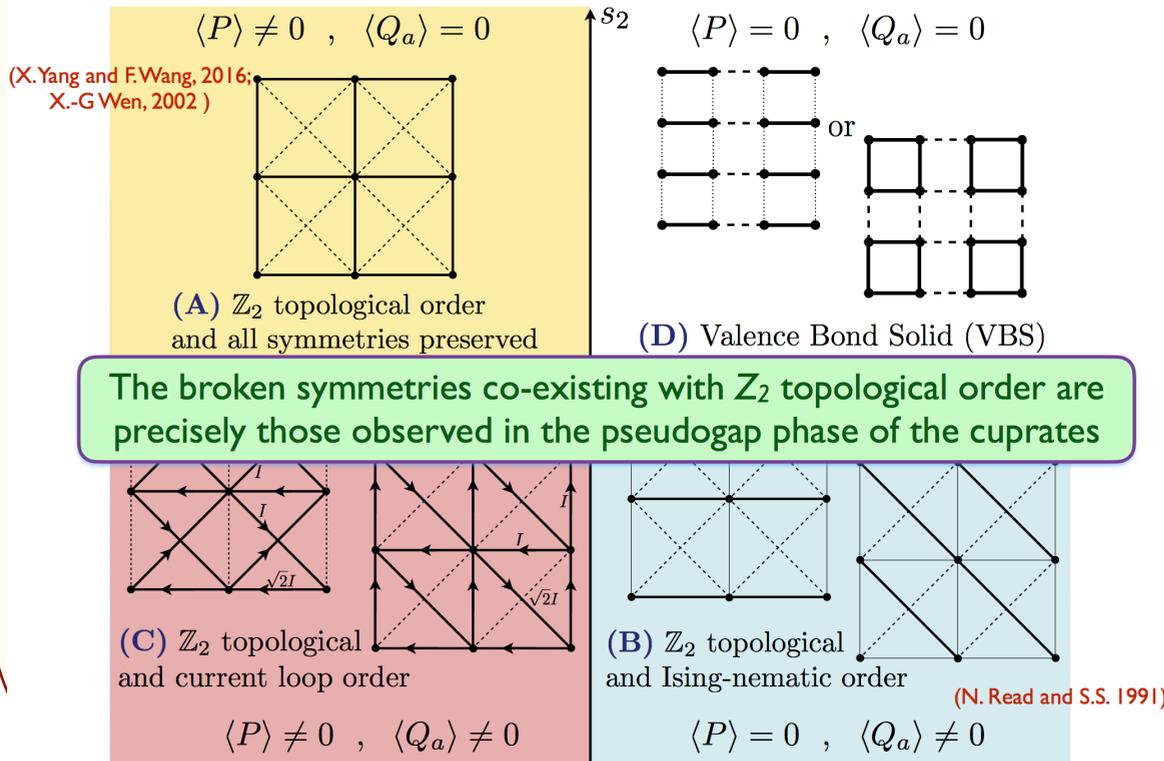


# Topological order in the $CP^1$ theory

**Quantum disorder the spins: Spin-rotation and translation invariance regained. Discrete symmetries remain broken.**

Phase diagram at large  $g$  with  $\langle z_\alpha \rangle = 0$

Three phases with  $Z_2$  topological order



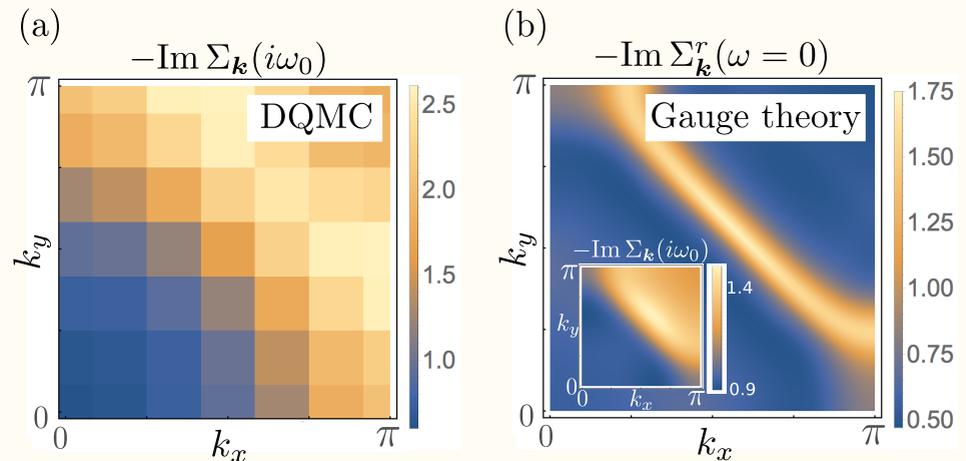
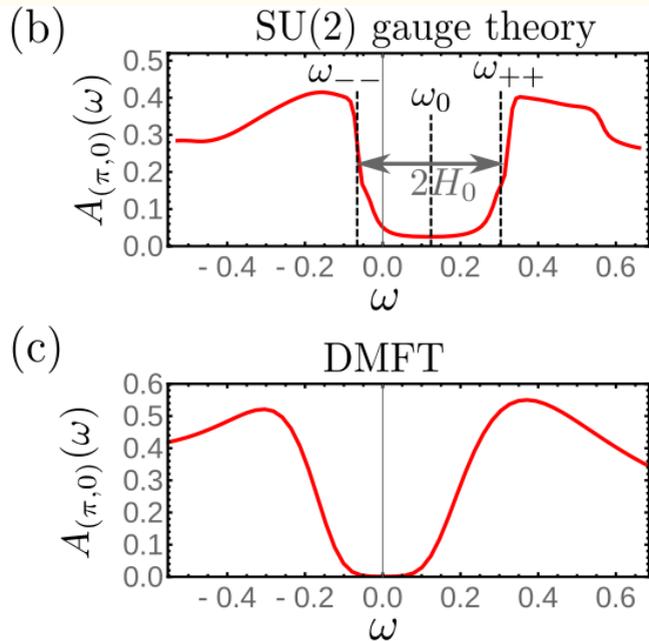
**Dope the insulator:  $SU(2)$  gauge theory of the electrons with small Fermi pockets + discrete broken symmetries**

S. Chatterjee and S. Sachdev, PRB **95**, 2015133, 2017;  
 S. Chatterjee, S. Sachdev and Mathias S. Scheurer, PRL **119**, 227007, 2017



# Comparisons with numerics

Electron spectral functions / self-energies from the SU(2) gauge theory closely resemble those from DMFT/QMC on 2d Hubbard model

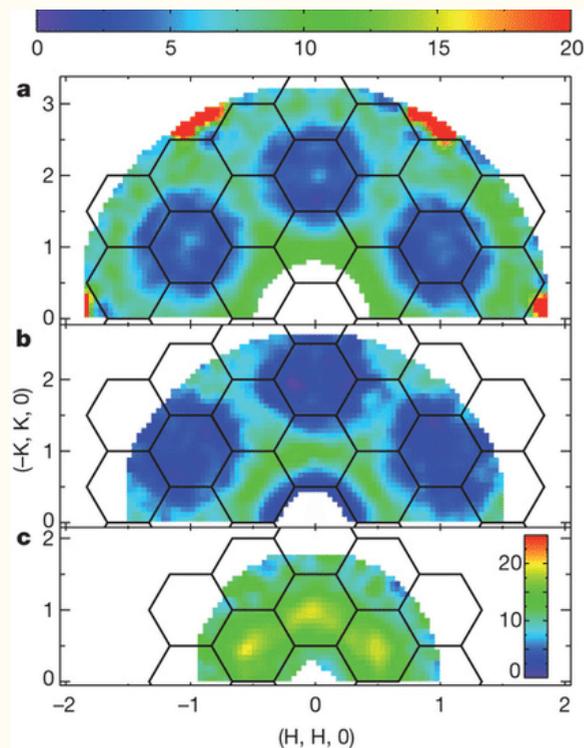
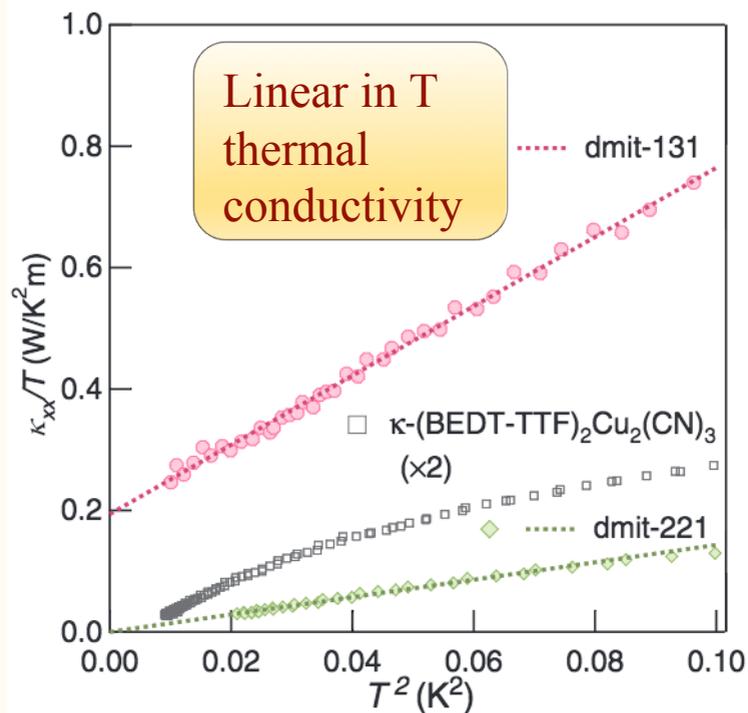


M. Scheurer, S. Chatterjee, W. Wu, M. Ferrero, A. Georges and S. Sachdev, arXiv:1711.09925  
W. Wu, M. Scheurer, S. Chatterjee, S. Sachdev, A. Georges and M. Ferrero, arXiv:1707.06602

# Topological order in insulators: Spin liquids

Several experimental candidates (organics, Herbertsmithite, etc)

Identified by anomalous signatures in transport/structure factor in insulators



M. Yamashita *et al*, Science, **328**, 5983 (2010) Han *et al*, Science, **328**, 7429 (2012)

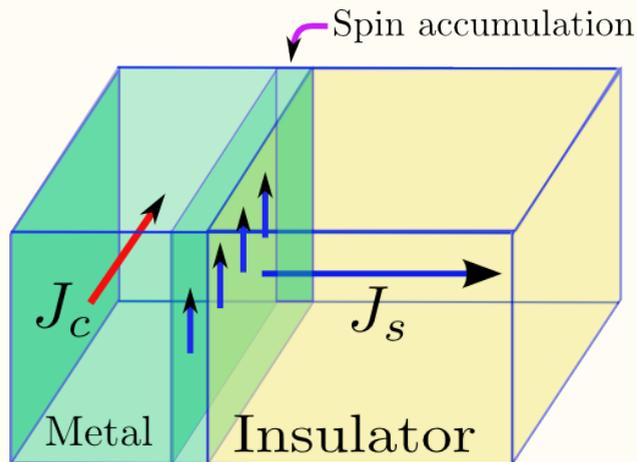
# Topological order in insulators: Spin liquids

Several experimental candidates (organics, Herbertsmithite, etc)

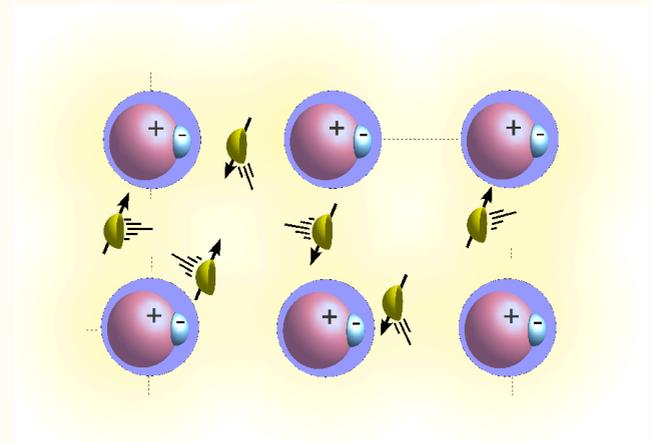
Cool material down to low temperatures: Look for signatures of *nothing*

**What are some experimental probes (other than neutrons) that can confirm a spin liquid phase in an insulator?**

Use the spin sector: Local operators create two spinons but one magnon



Study  $J_s$  ( $V_s$ )



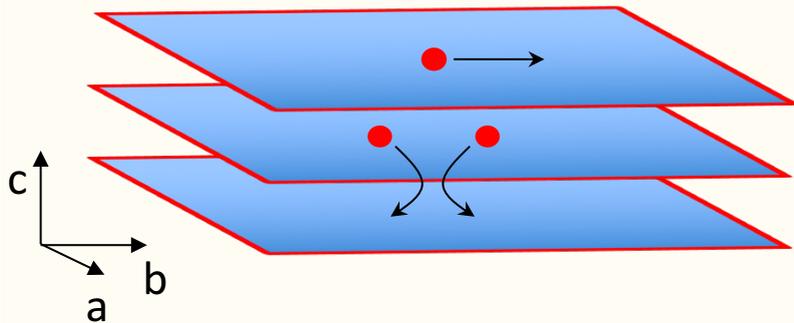
S. Chatterjee, S. Sachdev, PRB **92**, 165113 (2015)

# Topological order in insulators: Spin liquids

What are some experimental probes (other than neutrons) that can confirm a spin liquid phase in an insulator?

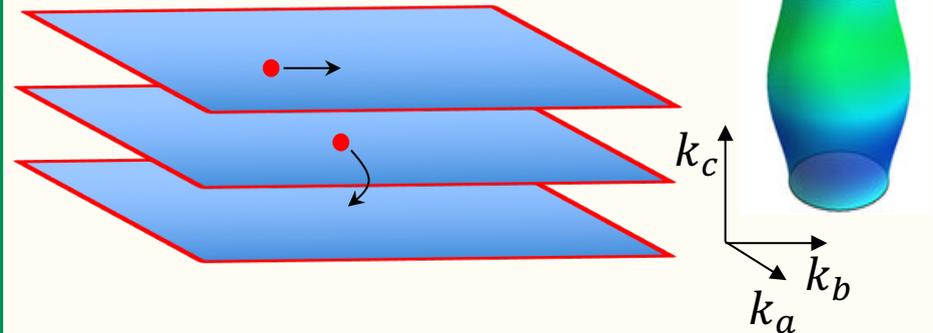
Use the energy sector:  $\kappa_c$  and  $\kappa_{ab}$  show parametrically different behavior as a function of temperature, in some gapless spin liquids  $\kappa_c$  dominates phonons

Fractionalized



Parametrically anisotropic thermal transport

Non-fractionalized



at low  $T$ ,  $\frac{\kappa_{ab}}{\kappa_c} \rightarrow const \gg 1$

## Topological order in insulators: Spin liquids

**What are some experimental probes (other than neutrons) that can confirm a spin liquid phase in an insulator?**

Use the energy sector:  $\kappa_c$  and  $\kappa_{ab}$  show parametrically different behavior as a function of temperature, in some gapless spin liquids  $\kappa_c$  dominates phonons

	In-plane		c-axis	
	Clean	Disordered	Clean	Disordered
$\mathbb{Z}_2$ Dirac	$T$	$T$	$T^5$	$T^{5-\alpha}$
$\mathbb{Z}_2$ FS	$1/T$	$T$	$T^3$	$T^2$
U(1)	$T^{1/3}$	$T$	$T^{5/3}$	$T^2$

Durst and Lee, PRB **62**, 1270 (2000)  
Nave and Lee, PRB **76**, 235124 (2007)

Upto logarithmic corrections

## Thanks to my collaborators



Subir Sachdev,  
Harvard/Perimeter



Erez Berg,  
U. Chicago



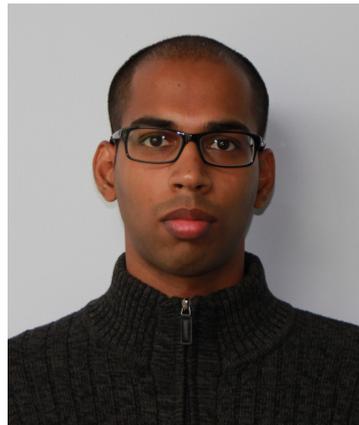
Yang Qi,  
Fudan University



Andreas Eberlein,  
Harvard University\*



Mathias Scheurer,  
Harvard University



Siddhardh C. Morampudi,  
Boston University



Yochai Werman,  
U. C. Berkeley



Julia Steinberg,  
Harvard University